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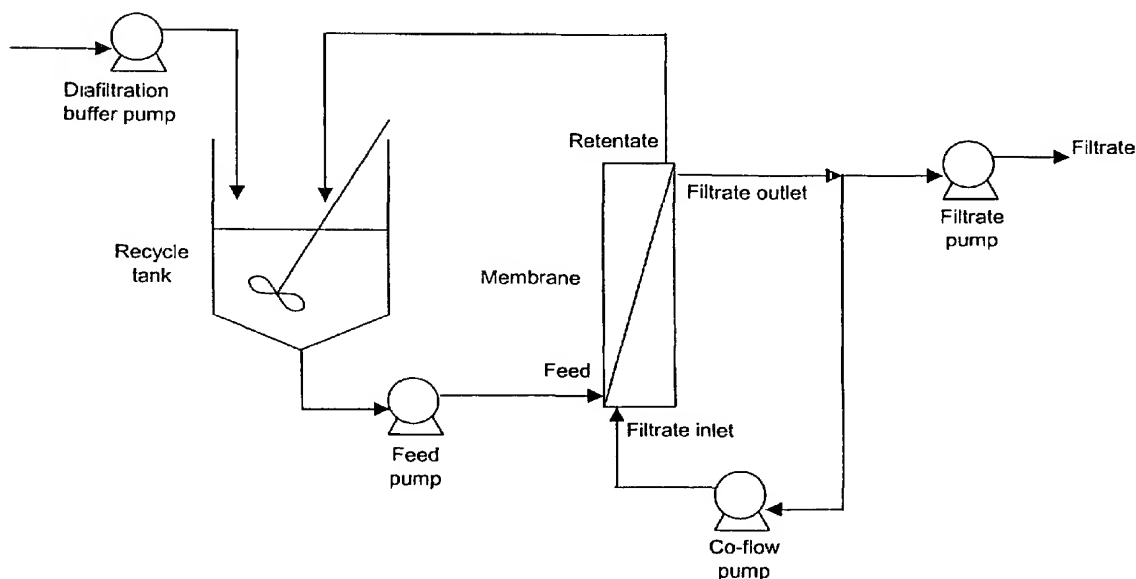
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- (71) Applicant (for all designated States except US):  
**GENETECH, INC.** [US/US]; 1 DNA Way, South San Francisco, CA 94080 (US).
- (72) Inventors; and
- (75) Inventors/Applicants (for US only): **FAHNER, Robert, Lee** [US/US]; 3816 Pasedena Dr., San Mateo, CA 94403 (US). **FOLLMAN, Deborah** [US/US]; 2635 Fillmore St. #103, San Francisco, CA 94115 (US). **LEBRETON, Benedicte** [FR/US]; 1880 Vallejo St. No. 3, San Francisco, CA 94123 (US). **VAN REIS, Robert** [SE/US]; 421 Hillcrest Way, Redwood City, CA 94062 (US).
- (74) Agent: **DREGER, Ginger, R.**; Heller, Ehrman, White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).
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(54) Title: NON-AFFINITY PURIFICATION OF PROTEINS



(57) Abstract: The present invention relates to a method for protein purification that involves the combination of non-affinity chromatography with HPTFF.



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*For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.*

## NON-AFFINITY PURIFICATION OF PROTEINS

### Background of the Invention

#### Field of the Invention

This invention relates generally to protein purification. In particular, the invention relates to a method for purifying proteins (such as antibodies and antibody-like molecules, e.g. immunoadhesins) from a composition comprising the polypeptide and at least one impurity without the use of affinity chromatography.

#### Description of the Related Art

The large-scale, economic purification of proteins is increasingly an important problem for the biotechnology industry. Generally, proteins are produced by cell culture, using either mammalian or bacterial cell lines engineered to produce the protein of interest by insertion of a recombinant plasmid containing the gene for that protein. Since the cell lines used are living organisms, they must be fed with a complex growth medium, containing sugars, amino acids, and growth factors, usually supplied from preparations of animal serum. Separation of the desired protein from the mixture of compounds fed to the cells and from the by-products of the cells themselves to a purity sufficient for use as a human therapeutic poses a formidable challenge.

Procedures for purification of proteins from cell debris initially depend on the site of expression of the protein. Some proteins are caused to be secreted directly from the cell into the surrounding growth media; others are made intracellularly. For the latter proteins, the first step of a purification process involves lysis of the cell, which can be done by a variety of methods, including mechanical shear, osmotic shock, or enzymatic treatments. Such disruption releases the entire contents of the cell into the homogenate, and in addition produces subcellular fragments that are difficult to remove due to their small size. These are generally removed by centrifugation or by filtration. The same problem arises, although on a smaller scale, with directly secreted proteins due to the natural death of cells and release of intracellular host cell proteins in the course of the protein production run.

Once a solution containing the protein of interest is obtained, its separation from the other proteins produced by the cell is usually attempted using a combination of different chromatography techniques. These techniques separate mixtures of proteins on the basis of their charge, degree of hydrophobicity, or size. Several different chromatography resins are available for each of these techniques, allowing accurate

tailoring of the purification scheme to the particular protein involved. The essence of each of these separation methods is that proteins can be caused either to move at different rates down a long column, achieving a physical separation that increases as they pass further down the column, or to adhere selectively to the separation medium, being then differentially eluted by different solvents. In some cases, the desired protein is separated from impurities when the impurities specifically adhere to the column, and the protein of interest does not, that is, the protein of interest is present in the "flow-through."

Ion-exchange chromatography, named for the exchangeable counterion, is a procedure applicable to purification of ionizable molecules. Ionized molecules are separated on the basis of the non-specific electrostatic interaction of their charged groups with oppositely charged molecules attached to the solid phase support matrix, thereby retarding those ionized molecules that interact more strongly with solid phase. The net charge of each type of ionized molecule, and its affinity for the matrix, varies according to the number of charged groups, the charge of each group, and the nature of the molecules competing for interaction with the charged solid phase matrix. These differences result in resolution of various molecule types by ion-exchange chromatography. In typical protein purification using ion exchange chromatography, a mixture of many proteins derived from a host cell, such as in mammalian cell culture, is applied to an ion-exchange column. After non-binding molecules are washed away, conditions are adjusted, such as by changing pH, counter ion concentration and the like in step- or gradient-mode, to release from the solid phase a non-specifically retained or retarded ionized protein of interest and separating it from proteins having different charge characteristics. Anion exchange chromatography involves competition of an anionic molecule of interest with the negative counter ion for interaction with a positively charged molecule attached to the solid phase matrix at the pH and under the conditions of a particular separation process. By contrast, cation exchange chromatography involves competition of a cationic molecule of interest with the positive counter ion for a negatively charged molecule attached to the solid phase matrix at the pH and under the conditions of a particular separation process. Mixed mode ion exchange chromatography involves the use of a combination of cation and anion exchange chromatographic media in the same step. In particular, "mixed-mode" refers to a solid phase support matrix to which is covalently attached a mixture of cation



exchange, anion exchange, and hydrophobic interaction moieties. A commercially available representative of mixed-mode ion exchange chromatographic columns is ABx™, the use of which is described in the Examples.

Hydroxyapatite chromatography of proteins involves the non-specific interaction of the charged amino or carboxylate groups of a protein with oppositely charged groups on the hydroxyapatite, where the net charge of the hydroxyapatite and protein are controlled by the pH of the buffer. Elution is accomplished by displacing the non-specific protein-hydroxyapatite pairing with ions such as  $\text{Ca}^{2+}$  or  $\text{Mg}^{2+}$ . Negatively charged protein groups are displaced by negatively charged compounds, such as phosphates, thereby eluting a net-negatively charged protein.

Hydrophobic interaction chromatography (HIC) is useful for the purification and separation of molecules, such as proteins, based on differences in their surface hydrophobicity. Hydrophobic groups of a protein interact non-specifically with hydrophobic groups coupled to the chromatography matrix. Differences in the number and nature of protein surface hydrophobic groups results in differential retardation of proteins on an HIC column and, as a result, separation of proteins in a mixture of proteins.

Hydrophobic charge induction (HCI) chromatography is useful for the separation of biological molecules, such as proteins, based on the pH-dependent behavior of ionizable, dual-mode ligands (Boschetti, E. *et al.*, Genetic Engineering News 20(13) (2000)). At neutral pH, the ligand is uncharged and binds a protein of interest via mild non-specific hydrophobic interaction. As pH is reduced during a buffer gradient, the ligand becomes positively charged and hydrophobic binding is disrupted by electrostatic charge repulsion (Boschetti, E. (2000), *supra*). The gentle conditions used in HCI reduces the risk of protein denaturation and antibody aggregation.

Affinity chromatography, which exploits a specific structurally dependent (i.e., spatially complementary) interaction between the protein to be purified and an immobilized capture agent, is a standard purification option for some proteins, such as antibodies. Protein A, for example, is a useful adsorbent for affinity chromatography of proteins, such as antibodies, which contain an Fc region. Protein A is a 41kD cell wall protein from *Staphylococcus aureas* which binds with a high affinity (about  $10^{-8}\text{M}$  to human IgG) to the Fc region of antibodies. Despite its common use, affinity

chromatography is costly, particularly at the industrial scale necessary to purify therapeutic proteins.

High-performance tangential-flow filtration (HPTFF) is a membrane technology useful for the separation of protein mixtures without limit to their relative size (Zydney, A.L. and van Reis, R., High-Performance Tangential-Flow Filtration, ch. 10, in *Membrane Separations in Biotechnology*, 2d ed., William K. Wang, ed., Marcel Dekker, Inc., NY, NY (2001), pp. 277-298; van Reis, R. *et al.* *Biotechnol. Bioeng.* 56:71-82 (1997); and van Reis, R., U.S. 5,256,694, U.S. 5,490,937, and U.S. 6,054,051, the contents of which are hereby incorporated by reference in their entirety). HPTFF can be used throughout the downstream purification process to remove specific impurities (such as proteins, DNA, or endotoxins), clear viruses, and/or eliminate protein oligomers or degradation products. HPTFF is unique among available separation technologies in that it can effect simultaneous purification, concentration, and buffer exchange, allowing several different separations steps to be combined into a single scalable unit operation.

Despite these advanced chromatography and filtration methods, affinity chromatography is often employed as a capture step to meet the purity, yield, and throughput requirements for pharmaceutical antibody purification. The high cost and instability of affinity media, however, increases the ultimate cost of antibody therapeutics, particularly those requiring high doses and/or chronic administration. In addition, adequate purity often is not achieved unless several purification steps are combined, thereby further increasing cost and reducing product yield. Antibodies account for an increasingly large percentage of therapeutic products on the market and in development in the United States for the treatment of, for example, cancer, autoimmune disease, infectious disease, cardiovascular disease, and transplant rejection (Stratan, F. *et al.*, *Monoclonal Antibodies - Coming of Age*, 1 (2001), and Booth, M. *et al.*, *Monoclonal Antibodies: Targeting the Issues*, 1 (2001)). Consequently, there is a need for processes that purify protein therapeutics or other polypeptide compounds using fewer steps and without the need for a costly affinity step.

#### Summary of the Invention

The present invention relates to the surprising finding that a non-affinity chromatographic purification process in combination with HPTFF is capable of purifying a target protein, such as an antibody or an antibody-like molecule, from a mixture

containing host cell proteins such that host cell protein impurities are present in the final purified target protein in an amount less than 100 parts per million (ppm).

In one aspect, the invention concerns a method for purifying a target protein from a mixture containing a host cell protein and optionally further impurities, comprising two non-affinity purification steps followed by high-performance tangential-flow filtration (HPTFF), in the absence of an affinity chromatography step, wherein such method produces a purified target protein containing less than 100 parts per million (ppm) of the host cell protein, alternatively less than 90ppm, less than 80ppm, less than 70ppm, less than 60ppm, less than 50ppm, less than 40ppm, less than 30ppm, less than 20ppm, less than 10ppm, less than 5ppm, or less than 3 ppm.

In a particular embodiment, the first and second non-affinity chromatography purification steps are different and are selected from the group consisting of ion exchange chromatography and hydrophobic interaction chromatography. For example, the ion exchange chromatography step may be cation exchange chromatography, anion exchange chromatography and/or mixed mode ion exchange chromatography. In a preferred embodiment, the first and second non-affinity purification steps are cation exchange chromatography and anion exchange chromatography, in either order. In another preferred embodiment, the first non-affinity purification step is cation exchange chromatography and said second non-affinity purification step is anion exchange chromatography. In yet another preferred embodiment, the method of the invention consists of two non-affinity chromatography purification steps followed by HPTFF and followed by an isolation step, to the exclusion of any other purification steps.

The target protein to be purified can be any protein, in particular recombinant protein produced in any host cell, including but not limited to, Chinese hamster ovary (CHO) cells. Optimal target proteins are antibodies, immunoadhesins and other antibody-like molecules, such as fusion proteins including a C<sub>H</sub>2/C<sub>H</sub>3 region.

In another aspect, the invention concerns a method for purifying a target protein from a mixture containing a host cell protein and optionally further impurities, comprising one non-affinity chromatography purification step followed by high-performance tangential-flow filtration (HPTFF), in the absence of an affinity chromatography step, wherein such method produces a purified target protein containing less than 100 parts per million (ppm) of the host cell protein, alternatively less than 90ppm, less than 80ppm, less than 70ppm, less than 60ppm, less than 50ppm, less than

40ppm, less than 30ppm, less than 20ppm, less than 10ppm, less than 5ppm, or less than 3 ppm

These and other non-limiting embodiments of the present invention are readily understood by one of ordinary skill in the art upon reading the disclosure and claims provided herein. It is understood that this invention is not limited to the particular compositions of matter and processes described, as such compounds and methods may, of course, vary. It is also to be understood that the terminology used herein is for the purpose of describing particular embodiments only, and is not intended to be limiting.

#### Brief Description of the Drawings

FIGURE 1 shows a schematic diagram of the filtration set-up for HPTFF experiments.

FIGURE 2 shows a silver-stained (Zaxis 10-20%) polyacrylamide gel, containing samples that were taken at different intervals during the purification of anti-HER2 rhuMAb and were subjected to SDS-PAGE analysis. The arrows indicating 160 kD, 50 kD, and 25 kD point to the full length antibody, the heavy chain, and the light chain, respectively. Other bands are anti-HER2 rhuMAb fragments. Samples included a molecular weight standard (lane 1), reference rhuMAb obtained using an affinity-purification process (lanes 2 and 7), a sample of rhuMAb harvested cell culture fluid (HCCF) (lane 3) prior to purification, and samples of material recovered, after S chromatography (lane 4), after Q chromatography (lane 5), after additional HPTFF (lanes 6 and 12), after HPTFF Experiment 1 using CRC100+ (lane 9), after HPTFF Experiment 1 using CRC300+ (lane 10), and after HPTFF Experiment 2 (lane 11).

FIGURES 3A and 3B. FIGURE 3A is the amino acid sequence of the anti-HER2 rhuMAb light chain; FIGURE 3B is the amino acid sequence of the anti-HER2 rhuMAb heavy chain.

FIGURES 4A and 4B. FIGURE 4A is the amino acid sequence of the anti-CD11a rhuMAb light chain; FIGURE 4B is the amino acid sequence of the anti-CD11a rhuMAb heavy chain.

FIGURE 5 shows a silver-stained SDS-PAGE gel containing samples that were taken at different points during the purification of anti-CD40 recombinant human monoclonal antibody (rhuMAb)

### Detailed Description of Embodiments

#### A. Definitions

The term "antibody" is used in the broadest sense and specifically covers monoclonal antibodies (including full length monoclonal antibodies), polyclonal antibodies, multispecific antibodies (*e.g.*, bispecific antibodies), and antibody fragments so long as they retain, or are modified to comprise, a ligand-specific binding domain. The antibody herein is directed against an "antigen" of interest. Preferably, the antigen is a biologically important polypeptide and administration of the antibody to a mammal suffering from a disease or disorder can result in a therapeutic benefit in that mammal.

However, antibodies directed against nonpolypeptide antigens (such as tumor-associated glycolipid antigens; see US Patent 5,091,178) are also contemplated. Where the antigen is a polypeptide, it may be a transmembrane molecule (*e.g.* receptor) or ligand such as a growth factor. Exemplary antigens include those polypeptides discussed above. Preferred molecular targets for antibodies encompassed by the present invention include CD polypeptides such as CD3, CD4, CD8, CD19, CD20, CD34, and CD40; members of the HER receptor family such as the EGF receptor, HER2, HER3 or HER4 receptor; cell adhesion molecules such as LFA-1, Mac1, p150,95, VLA-4, ICAM-1, VCAM and av/b3 integrin including either a or b subunits thereof (*e.g.* anti-CD11a, anti-CD18 or anti-CD11b antibodies); growth factors such as VEGF; IgE; blood group antigens; flk2/flt3 receptor; obesity (OB) receptor; *mpl* receptor; CTLA-4; polypeptide C etc. Soluble antigens or fragments thereof, optionally conjugated to other molecules, can be used as immunogens for generating antibodies. For transmembrane molecules, such as receptors, fragments of these (*e.g.* the extracellular domain of a receptor) can be used as the immunogen. Alternatively, cells expressing the transmembrane molecule can be used as the immunogen. Such cells can be derived from a natural source (*e.g.* cancer cell lines) or may be cells which have been transformed by recombinant techniques to express the transmembrane molecule.

"Antibody fragments" comprise a portion of a full length antibody, generally the antigen binding or variable region thereof. Examples of antibody fragments include Fab, Fab', F(ab')<sub>2</sub>, and Fv fragments; single-chain antibody molecules; diabodies; linear antibodies; and multispecific antibodies formed from antibody fragments.

The term "monoclonal antibody" as used herein refers to an antibody obtained from a population of substantially homogeneous antibodies, *i.e.*, the individual

antibodies comprising the population are identical except for possible naturally occurring mutations that may be present in minor amounts. Monoclonal antibodies are highly specific, being directed against a single antigenic site. Furthermore, in contrast to conventional (polyclonal) antibody preparations which typically include different antibodies directed against different determinants (epitopes), each monoclonal antibody is directed against a single determinant on the antigen. The modifier "monoclonal" indicates the character of the antibody as being obtained from a substantially homogeneous population of antibodies, and is not to be construed as requiring production of the antibody by any particular method. For example, the monoclonal antibodies to be used in accordance with the present invention may be made by the hybridoma method first described by Kohler *et al.*, *Nature* 256:495 (1975), or may be made by recombinant DNA methods (see, *e.g.*, U.S. Patent No. 4,816,567). The "monoclonal antibodies" may also be isolated from phage antibody libraries using the techniques described in Clackson *et al.*, *Nature* 352:624-628 (1991) and Marks *et al.*, *J. Mol. Biol.* 222:581-597 (1991), for example.

The monoclonal antibodies herein specifically include "chimeric" antibodies (immunoglobulins) in which a portion of the heavy and/or light chain is identical with or homologous to corresponding sequences in antibodies derived from a particular species or belonging to a particular antibody class or subclass, while the remainder of the chain(s) is identical with or homologous to corresponding sequences in antibodies derived from another species or belonging to another antibody class or subclass, as well as fragments of such antibodies, so long as they exhibit the desired biological activity (U.S. Patent No. 4,816,567; and Morrison *et al.*, *Proc. Natl. Acad. Sci. USA* 81:6851-6855 (1984)).

The term "hypervariable region" when used herein refers to the amino acid residues of an antibody which are responsible for antigen-binding. The hypervariable region comprises amino acid residues from a "complementarity determining region" or "CDR" (*i.e.* residues 24-34 (L1), 50-56 (L2) and 89-97 (L3) in the light chain variable domain and 31-35 (H1), 50-65 (H2) and 95-102 (H3) in the heavy chain variable domain; Kabat *et al.*, *Sequences of Proteins of Immunological Interest*, 5th Ed. Public Health Service, National Institutes of Health, Bethesda, MD. (1991)) and/or those residues from a "hypervariable loop" (*i.e.* residues 26-32 (L1), 50-52 (L2) and 91-96 (L3) in the light chain variable domain and 26-32 (H1), 53-55 (H2) and 96-101 (H3) in

the heavy chain variable domain; Chothia and Lesk *J. Mol. Biol.* 196:901-917 (1987)). "Framework" or "FR" residues are those variable domain residues other than the hypervariable region residues as herein defined.

"Humanized" forms of non-human (*e.g.*, murine) antibodies are chimeric antibodies which contain minimal sequence derived from non-human immunoglobulin. For the most part, humanized antibodies are human immunoglobulins (recipient antibody) in which hypervariable region residues of the recipient are replaced by hypervariable region residues from a non-human species (donor antibody) such as mouse, rat, rabbit or nonhuman primate having the desired specificity, affinity, and capacity. In some instances, Fv framework region (FR) residues of the human immunoglobulin are replaced by corresponding non-human residues. Furthermore, humanized antibodies may comprise residues which are not found in the recipient antibody or in the donor antibody. These modifications are made to further refine antibody performance. In general, the humanized antibody will comprise substantially all of at least one, and typically two, variable domains, in which all or substantially all of the hypervariable loops correspond to those of a non-human immunoglobulin and all or substantially all of the FR regions are those of a human immunoglobulin sequence. The humanized antibody optionally also will comprise at least a portion of an immunoglobulin constant region (Fc), typically that of a human immunoglobulin. For further details, see Jones *et al.*, *Nature* 321:522-525 (1986); Riechmann *et al.*, *Nature* 332:323-329 (1988); and Presta, *Curr. Op. Struct. Biol.* 2:593-596 (1992).

As used herein, the term "immunoadhesin" designates antibody-like molecules which combine the "binding domain" of a heterologous "adhesin" protein (*e.g.* a receptor, ligand or enzyme) with the effector functions of an immunoglobulin constant domain. Structurally, the immunoadhesins comprise a fusion of the adhesin amino acid sequence with the desired binding specificity which is other than the antigen recognition and binding site (antigen combining site) of an antibody (*i.e.* is "heterologous") and an immunoglobulin constant domain sequence. The immunoglobulin constant domain sequence in the immunoadhesin is preferably derived from  $\gamma 1$ ,  $\gamma 2$ , or  $\gamma 4$  heavy chains since immunoadhesins comprising these regions can be purified by Protein A chromatography (Lindmark *et al.*, *J. Immunol. Meth.* 62:1-13 (1983)).

The term "ligand binding domain" as used herein refers to any native cell-surface receptor or any region or derivative thereof retaining at least a qualitative ligand binding

of a corresponding native receptor. In a specific embodiment, the receptor is from a cell-surface polypeptide having an extracellular domain which is homologous to a member of the immunoglobulin super gene family. Other receptors, which are not members of the immunoglobulin super gene family but are nonetheless specifically covered by this definition, are receptors for cytokines, and in particular receptors with tyrosine kinase activity (receptor tyrosine kinases), members of the hematopoietin and nerve growth factor receptor superfamilies, and cell adhesion molecules, *e.g.* (E-, L- and P-) selectins.

The term "receptor binding domain" is used to designate any native ligand for a receptor, including cell adhesion molecules, or any region or derivative of such native ligand retaining at least a qualitative receptor binding ability of a corresponding native ligand. This definition, among others, specifically includes binding sequences from ligands for the above-mentioned receptors.

An "antibody-immunoadhesin chimera" comprises a molecule which combines at least one binding domain of an antibody (as herein defined) with at least one immunoadhesin (as defined in this application). Exemplary antibody-immunoadhesin chimeras are the bispecific CD4-IgG chimeras described in Berg *et al.*, *PNAS (USA)* 88:4723-4727 (1991) and Chamow *et al.*, *J. Immunol.* 153:4268 (1994).

Unless indicated otherwise, the term "HER2" when used herein refers to human HER2 protein and "*HER2*" refers to human *HER2* gene. The human *HER2* gene and HER2 protein are described in Semba *et al.*, *PNAS (USA)* 82:6497-6501 (1985) and Yamamoto *et al.* *Nature* 319:230-234 (1986) (Genebank accession number X03363), for example.

"Trastuzumab," "HERCEPTIN®," "anti-HER2 rhuMAb," and "HER2" are used interchangeably herein to refer to a humanized anti-HER2 antibody comprising the light chain amino acid sequence of SEQ ID NO:1 and the heavy chain amino acid sequence of SEQ ID NO:2 or amino acid sequence variants thereof which retain the ability to bind HER2 and inhibit growth of tumor cells which overexpress HER2 (Figures 3A and 3B; see also US Patent 5,677,171, expressly incorporated herein by reference).

"Anti-CD11a rhuMAb" or "CD11a" are used interchangeably herein to refer to a humanized anti-CD11a antibody comprising the light chain amino acid sequence of SEQ ID NO:3 and the heavy chain amino acid sequence of SEQ ID NO:4 or amino acid sequence variants thereof which retain the ability to bind LFA-1 and to inhibit certain T-cell dependent immune functions (Figures 4A and 4B; see also US Patent 5,622,700;



WO 98/23761; Steppe *et al.*, *Transplant Intl.* 4:3-7 (1991); and Hourmant *et al.* *Transplantation* 58:377-380 (1994); which references are expressly incorporated herein by reference). Anti-CD11a antibodies further include, e.g., MHM24 [Hildreth *et al.*, *Eur. J. Immunol.*, 13: 202-208 (1983)], R3.1 (IgG1) [R. Rothlein, Boehringer Ingelheim Pharmaceuticals, Inc., Ridgefield, CT], 25-3 (or 25.3), an IgG1 available from  
5 Immunotech, France [Olive *et al.*, in Feldmann, ed., *Human T cell Clones. A new Approach to Immune Regulation*, Clifton, NJ, Humana, 1986 p. 173], KBA (IgG2a) [Nishimura *et al.*, *Cell. Immunol.*, 107: 32 (1987); Nishimura *et al.*, *ibid.*, 94: 122 (1985)], M7/15 (IgG2b) [Springer *et al.*, *Immunol. Rev.*, 68: 171 (1982)], IOT16 [Vermot  
10 Desroches *et al.*, *Scand. J. Immunol.*, 33: 277-286 (1991)], SPVL7 [Vermot Desroches *et al.*, *supra*], and M17 (IgG2a), available from ATCC, which are rat anti-murine CD11a antibodies. Preferred anti-CD11a antibodies are the humanized antibodies described in U.S. Patent 6,037,454. It is also generally preferred that the anti-CD11a antibodies are not T-cell depleting antibodies, that is, that the administration of the anti-CD11a  
15 antibody does not reduce the level of circulating T-cells."

The "composition" to be purified herein comprises the polypeptide of interest and one or more impurities. The composition may be "partially purified" (*i.e.* having been subjected to one or more purification steps, such as by non-affinity chromatography described herein or may be obtained directly from a host cell or organism producing the  
20 polypeptide (*e.g.* the composition may comprise harvested cell culture fluid).

As used herein, "polypeptide" refers generally to peptides and proteins having more than about ten amino acids. Preferably, the polypeptide is a mammalian protein, examples of which include renin; a growth hormone, including human growth hormone and bovine growth hormone; growth hormone releasing factor; parathyroid hormone;  
25 thyroid stimulating hormone; lipoproteins; alpha-1-antitrypsin; insulin A-chain; insulin B-chain; proinsulin; follicle stimulating hormone; calcitonin; luteinizing hormone; glucagon; clotting factors such as factor VIIIC, factor IX, tissue factor, and von Willebrands factor; anti-clotting factors such as Protein C; atrial natriuretic factor; lung surfactant; a plasminogen activator, such as urokinase or human urine or tissue-type  
30 plasminogen activator (t-PA); bombesin; thrombin; hemopoietic growth factor; tumor necrosis factor-alpha and -beta; enkephalinase; RANTES (regulated on activation normally T-cell expressed and secreted); human macrophage inflammatory protein (MIP-1-alpha); a serum albumin such as human serum albumin; Muellerian-inhibiting

substance; relaxin A-chain; relaxin B-chain; prorelaxin; mouse gonadotropin-associated peptide; a microbial protein, such as beta-lactamase; DNase; IgE; a cytotoxic T-lymphocyte associated antigen (CTLA), such as CTLA-4; inhibin; activin; vascular endothelial growth factor (VEGF); receptors for hormones or growth factors; Protein A or D; rheumatoid factors; a neurotrophic factor such as bone-derived neurotrophic factor (BDNF), neurotrophin-3, -4, -5, or -6 (NT-3, NT-4, NT-5, or NT-6), or a nerve growth factor such as NGF- $\beta$ ; platelet-derived growth factor (PDGF); fibroblast growth factor such as aFGF and bFGF; epidermal growth factor (EGF); transforming growth factor (TGF) such as TGF-alpha and TGF-beta, including TGF- $\beta$ 1, TGF- $\beta$ 2, TGF- $\beta$ 3, TGF- $\beta$ 4, or TGF- $\beta$ 5; insulin-like growth factor-I and -II (IGF-I and IGF-II); des(1-3)-IGF-I (brain IGF-I), insulin-like growth factor binding proteins (IGFBPs); CD proteins such as CD3, CD4, CD8, CD19, CD20, CD34, and CD40; erythropoietin; osteoinductive factors; immunotoxins; a bone morphogenetic protein (BMP); an interferon such as interferon-alpha, -beta, and -gamma; colony stimulating factors (CSFs), *e.g.*, M-CSF, GM-CSF, and G-CSF; interleukins (ILs), *e.g.*, IL-1 to IL-10; superoxide dismutase; T-cell receptors; surface membrane proteins; decay accelerating factor; viral antigen such as, for example, a portion of the AIDS envelope; transport proteins; homing receptors; addressins; regulatory proteins; integrins such as CD11a, CD11b, CD11c, CD18, an ICAM, VLA-4 and VCAM; a tumor associated antigen such as HER2, HER3 or HER4 receptor; and fragments and/or variants of any of the above-listed polypeptides. In addition, a protein or polypeptide of the invention is an antibody, fragment or variant thereof, that binds specifically to any of the above-listed polypeptides.

An "impurity" is a material that is different from the desired polypeptide product or protein of interest. The impurity includes, but is not limited to, a host cell protein (HCP, such as CHOP), a polypeptide other than the target polypeptide, nucleic acid, endotoxin etc.

An "acidic variant" is a variant of a polypeptide of interest which is more acidic (*e.g.* as determined by cation exchange chromatography) than the polypeptide of interest. An example of an acidic variant is a deamidated variant.

The term "protein of interest" and "target protein" are used interchangeably and refer to a protein or polypeptide such as an antibody (as defined herein) that is to be purified by a method of the invention from a mixture of proteins and, optionally, other materials such as cell debris and the like.

The terms "Chinese hamster ovary cell protein" and "CHOP" are used interchangeably to refer to a mixture of host cell proteins ("HCP") derived from a Chinese hamster ovary ("CHO") cell culture. The HCP or CHOP is generally present as an impurity in a cell culture medium or lysate (e.g., a harvested cell culture fluid ("HCCF")) comprising a protein of interest such as an antibody or immunoadhesin expressed in a CHO cell.) The amount of CHOP present in a mixture comprising a protein of interest provides a measure of the degree of purity for the protein of interest. HCP or CHOP includes, but is not limited to, a protein of interest expressed by the host cell, such as a CHO host cell. Typically, the amount of CHOP in a protein mixture is expressed in parts per million relative to the amount of the protein of interest in the mixture. It is understood that where the host cell is another mammalian cell type, an *E. coli*, a yeast, an insect cell, or a plant cell, HCP refers to the proteins, other than target protein, found in a lysate of the host cell.

The term "parts per million" or "ppm" are used interchangeably herein to refer to a measure of purity of the protein of interest purified by a method of the invention. The units ppm refer to the amount of HCP or CHOP in nanograms/milliliter per protein of interest in milligrams/milliliter (i.e.,  $\text{CHOP ppm} = (\text{CHOP ng/ml})/(\text{protein of interest mg/ml})$ , where the proteins are in solution). Where the proteins are dried (such as by lyophilization), ppm refers to  $(\text{CHOP ng})/(\text{protein of interest mg})$ .

By "purifying" a polypeptide from a composition comprising the polypeptide and one or more impurities is meant increasing the degree of purity of the polypeptide in the composition by removing (completely or partially) at least one impurity from the composition. According to the present invention, purification is performed without the use of an affinity chromatography step. A "purification step" may be part of an overall purification process resulting in a "homogeneous" composition, which is used herein to refer to a composition comprising less than 100ppm HCP in a composition comprising the protein of interest, alternatively less than 90ppm, less than 80ppm, less than 70ppm, less than 60ppm, less than 50ppm, less than 40ppm, less than 30ppm, less than 20ppm, less than 10ppm, less than 5ppm, or less than 3ppm.

The terms "Protein A" and "ProA" are used interchangeably herein and encompasses Protein A recovered from a native source thereof, Protein A produced synthetically (e.g. by peptide synthesis or by recombinant techniques), and variants thereof which retain the ability to bind proteins which have a  $\text{C}_{\text{H}2}/\text{C}_{\text{H}3}$  region, such as an

Fc region. Protein A can be purchased commercially from Repligen, Pharmacia and Fermatech. Protein A is generally immobilized on a solid phase support material. The term "ProA" also refers to an affinity chromatography resin or column containing chromatographic solid support matrix to which is covalently attached Protein A.

5       The term "chromatography" refers to the process by which a solute of interest in a mixture is separated from other solutes in a mixture as a result of differences in rates at which the individual solutes of the mixture migrate through a stationary medium under the influence of a moving phase, or in bind and elute processes.

10       The term "affinity chromatography" and "protein affinity chromatography" are used interchangeably herein and refer to a protein separation technique in which a protein of interest or antibody of interest is reversibly and specifically bound to a biospecific ligand. Preferably, the biospecific ligand is covalently attached to a chromatographic solid phase material and is accessible to the protein of interest in solution as the solution contacts the chromatographic solid phase material. The protein  
15 of interest (e.g., antibody, enzyme, or receptor protein) retains its specific binding affinity for the biospecific ligand (antigen, substrate, cofactor, or hormone, for example) during the chromatographic steps, while other solutes and/or proteins in the mixture do not bind appreciably or specifically to the ligand. Binding of the protein of interest to the immobilized ligand allows contaminating proteins or protein impurities to be passed  
20 through the chromatographic medium while the protein of interest remains specifically bound to the immobilized ligand on the solid phase material. The specifically bound protein of interest is then removed in active form from the immobilized ligand with low pH, high pH, high salt, competing ligand, and the like, and passed through the chromatographic column with the elution buffer, free of the contaminating proteins or  
25 protein impurities that were earlier allowed to pass through the column. Any component can be used as a ligand for purifying its respective specific binding protein, e.g. antibody.

30       The terms "non-affinity chromatography" and "non-affinity purification" refer to a purification process in which affinity chromatography is not utilized. Non-affinity chromatography includes chromatographic techniques that rely on non-specific interactions between a molecule of interest (such as a protein, e.g. antibody) and a solid phase matrix.

      The term "specific binding" as used herein, such as to describe interactions between a molecule of interest and a ligand bound to a solid phase matrix, refers to the

generally reversible binding of a protein of interest to a ligand through the combined effects of spatial complementarity of protein and ligand structures at a binding site coupled with electrostatic forces, hydrogen bonding, hydrophobic forces, and/or van der Waals forces at the binding site. The greater the spatial complementarity and the stronger the other forces at the binding site, the greater will be the binding specificity of a protein for its respective ligand. Non-limiting examples of specific binding includes antibody-antigen binding, enzyme-substrate binding, enzyme-cofactor binding, metal ion chelation, DNA binding protein-DNA binding, regulatory protein-protein interactions, and the like. Ideally, in affinity chromatography specific binding occurs with an affinity of about  $10^{-4}$  to  $10^{-8}$  M in free solution.

The term "non-specific binding" as used herein, such as to describe interactions between a molecule of interest and a ligand or other compound bound to a solid phase matrix, refers to binding of a protein of interest to the ligand or compound on a solid phase matrix through electrostatic forces, hydrogen bonding, hydrophobic forces, and/or van der Waals forces at an interaction site, but lacking structural complementarity that enhances the effects of the non-structural forces. Examples of non-specific interactions include, but are not limited to, electrostatic, hydrophobic, and van der Waals forces as well as hydrogen bonding.

A "salt" is a compound formed by the interaction of an acid and a base. A salt useful for the invention include, but are not limited to acetate (*e.g.* sodium acetate), citrate (*e.g.* sodium citrate), chloride (*e.g.* sodium chloride), sulphate (*e.g.* sodium sulphate), or a potassium salt.

As used herein, "solvent" refers to a liquid substance capable of dissolving or dispersing one or more other substances to provide a solution. Solvents include aqueous and organic solvents, where useful organic solvents include a non-polar solvent, ethanol, methanol, isopropanol, acetonitrile, hexylene glycol, propylene glycol, and 2,2-thiodiglycol.

The term "detergent" refers to ionic and nonionic surfactants such as polysorbates (*e.g.* polysorbates 20 or 80); poloxamers (*e.g.* poloxamer 188); Triton; sodium dodecyl sulfate (SDS); sodium laurel sulfate; sodium octyl glycoside; lauryl-, myristyl-, linoleyl-, or stearyl-sulfobetaine; lauryl-, myristyl-, linoleyl- or stearyl-sarcosine; linoleyl-, myristyl-, or cetyl-betaine; lauroamidopropyl-, cocamidopropyl-, linoleamidopropyl-, myristamidopropyl-, palmidopropyl-, or isostearamidopropyl-betaine (*e.g.*

lauroamidopropyl); myristamidopropyl-, palmidopropyl-, or isostearamidopropyl-dimethylamine; sodium methyl cocoyl-, or disodium methyl oleyl-taurate; and the MONAQUAT™ series (Mona Industries, Inc., Paterson, New Jersey), Useful detergents is a polysorbate, such as polysorbate 20 (TWEEN 20®) or polysorbate 80 (TWEEN  
5 80®).

A “polymer” herein is a molecule formed by covalent linkage of two or more monomers, where the monomers are not amino acid residues. Examples of polymers include polyethyl glycol, polypropyl glycol, and copolymers (*e.g.* Pluronic, PF68 etc). A useful polymer is polyethylene glycol (PEG), *e.g.* PEG 400 and PEG 8000.

10 The term “ion-exchange” and “ion-exchange chromatography” refers to the chromatographic process in which a solute of interest (such as a protein) in a mixture interacts with a charged compound linked (such as by covalent attachment) to a solid phase ion exchange material such that the solute of interest interacts non-specifically with the charged compound more or less than solute impurities or contaminants in the  
15 mixture. The contaminating solutes in the mixture elute from a column of the ion exchange material faster or slower than the solute of interest or are bound to or excluded from the resin relative to the solute of interest. “Ion-exchange chromatography” specifically includes cation exchange, anion exchange, and mixed mode chromatography.

20 The phrase “ion exchange material” refers to a solid phase that is negatively charged (*i.e.* a cation exchange resin) or positively charged (*i.e.* an anion exchange resin). The charge may be provided by attaching one or more charged ligands to the solid phase, *e.g.* by covalent linking. Alternatively, or in addition, the charge may be an inherent property of the solid phase (*e.g.* as is the case for silica, which has an overall  
25 negative charge).

By “solid phase” is meant a non-aqueous matrix to which one or more charged ligands can adhere. The solid phase may be a purification column, a discontinuous phase of discrete particles, a membrane, or filter etc. Examples of materials for forming the solid phase include polysaccharides (such as agarose and cellulose); and other  
30 mechanically stable matrices such as silica (*e.g.* controlled pore glass), poly(styrenedivinyl)benzene, polyacrylamide, ceramic particles and derivatives of any of the above.

A "cation exchange resin" refers to a solid phase which is negatively charged, and which thus has free cations for exchange with cations in an aqueous solution passed over or through the solid phase. A negatively charged ligand attached to the solid phase to form the cation exchange resin may, *e.g.*, be a carboxylate or sulfonate. Commercially available cation exchange resins include carboxy-methyl-cellulose, sulphopropyl (SP) immobilized on agarose (*e.g.* SP-SEPHAROSE FAST FLOW™ or SP-SEPHAROSE HIGH PERFORMANCE™, from Pharmacia) and sulphonyl immobilized on agarose (*e.g.* S-SEPHAROSE FAST FLOW™ from Pharmacia). A "mixed mode ion exchange resin" refers to a solid phase which is covalently modified with cationic, anionic, and hydrophobic moieties. A commercially available mixed mode ion exchange resin is BAKERBOND ABX™ (J.T. Baker, Phillipsburg, NJ) containing weak cation exchange groups, a low concentration of anion exchange groups, and hydrophobic ligands attached to a silica gel solid phase support matrix.

The term "anion exchange resin" is used herein to refer to a solid phase which is positively charged, *e.g.* having one or more positively charged ligands, such as quaternary amino groups, attached thereto. Commercially available anion exchange resins include DEAE cellulose, QAE SEPHADEX™ and FAST Q SEPHAROSE™ (Pharmacia).

A "buffer" is a solution that resists changes in pH by the action of its acid-base conjugate components. Various buffers which can be employed depending, for example, on the desired pH of the buffer are described in *Buffers. A Guide for the Preparation and Use of Buffers in Biological Systems*, Gueffroy, D., ed. Calbiochem Corporation (1975). In one embodiment, the buffer has a pH in the range from about 2 to about 9, alternatively from about 3 to about 8, alternatively from about 4 to about 7 alternatively from about 5 to about 7. Non-limiting examples of buffers that will control the pH in this range include MES, MOPS, MOPSO, Tris, HEPES, phosphate, acetate, citrate, succinate, and ammonium buffers, as well as combinations of these.

The "loading buffer" is that which is used to load the composition comprising the polypeptide molecule of interest and one or more impurities onto the ion exchange resin. The loading buffer has a conductivity and/or pH such that the polypeptide molecule of interest (and generally one or more impurities) is/are bound to the ion exchange resin or such that the protein of interest flows through the column while the impurities bind to the resin.

The "intermediate buffer" is used to elute one or more impurities from the ion exchange resin, prior to eluting the polypeptide molecule of interest. The conductivity and/or pH of the intermediate buffer is/are such that one or more impurity is eluted from the ion exchange resin, but not significant amounts of the polypeptide of interest.

5 The term "wash buffer" when used herein refers to a buffer used to wash or re-equilibrate the ion exchange resin, prior to eluting the polypeptide molecule of interest. Conveniently, the wash buffer and loading buffer may be the same, but this is not required.

10 The "elution buffer" is used to elute the polypeptide of interest from the solid phase. The conductivity and/or pH of the elution buffer is/are such that the polypeptide of interest is eluted from the ion exchange resin.

A "regeneration buffer" may be used to regenerate the ion exchange resin such that it can be re-used. The regeneration buffer has a conductivity and/or pH as required to remove substantially all impurities and the polypeptide of interest from the ion exchange  
15 resin.

The term "conductivity" refers to the ability of an aqueous solution to conduct an electric current between two electrodes. In solution, the current flows by ion transport. Therefore, with an increasing amount of ions present in the aqueous solution, the solution will have a higher conductivity. The unit of measurement for conductivity is  
20 milliSeimens per centimeter (mS/cm), and can be measured using a conductivity meter sold, *e.g.*, by Orion. The conductivity of a solution may be altered by changing the concentration of ions therein. For example, the concentration of a buffering agent and/or concentration of a salt (*e.g.* NaCl or KCl) in the solution may be altered in order to achieve the desired conductivity. Preferably, the salt concentration of the various buffers  
25 is modified to achieve the desired conductivity as in the Example below.

The "pI" or "isoelectric point" of a polypeptide refer to the pH at which the polypeptide's positive charge balances its negative charge. pI can be calculated from the net charge of the amino acid residues or sialic acid residues of attached carbohydrates of the polypeptide or can be determined by isoelectric focusing.

30 By "binding" a molecule to an ion exchange material is meant exposing the molecule to the ion exchange material under appropriate conditions (pH/conductivity) such that the molecule is reversibly immobilized in or on the ion exchange material by



virtue of ionic interactions between the molecule and a charged group or charged groups of the ion exchange material.

By "washing" the ion exchange material is meant passing an appropriate buffer through or over the ion exchange material.

5 To "elute" a molecule (*e.g.* polypeptide or impurity) from an ion exchange material is meant to remove the molecule therefrom by altering the ionic strength of the buffer surrounding the ion exchange material such that the buffer competes with the molecule for the charged sites on the ion exchange material.

10 As used herein, "filtrate" refers to that portion of a sample that passes through the filtration membrane.

As used herein, "retentate" refers to that portion of a sample that is substantially retained by the filtration membrane.

15 Tangential flow filtration" or "TFF" or "crossflow filtration" refers to a filtration process in which the sample mixture circulates across the top of the membrane, while applied pressure causes certain solutes and small molecules to pass through the membrane. Typically, the solution flows parallel to the filter membrane. A pressure differential across the membrane causes fluid and filterable solutes to flow through the filter. This can be conducted as a continuous-flow process, since the solution is passed repeatedly over the membrane while that fluid that passes through the filter is continually  
20 drawn off into a separate circuit.

"High performance tangential flow filtration" or "HPTFF" refers to TFF performed at a flux between 5% and 100% of the transmembrane pressure on the flux versus transmembrane pressure curve (see, for example, van Reis, R. US Patent No. 5,256,694; US Patent No. 4,490,937; and US Patent No. 6,054,051).

25 As used herein, "lysate impurities" refers to all undesired components of a mixture in which the desired plasmid DNA is contained, including chromosomal DNA, host proteins, cell debris, secreted host cell proteins, including cell membrane debris, carbohydrates, small degraded nucleotides, host RNA, lipopolysaccharides, etc.

30 "Cellulose membrane" refers to a cellulose polymer, where the cellulose is repeating units of D-glucose. The primary alcohol group of a glucose monomer provides the reactive species on the membrane to which the charged compound is covalently attached.

“CRC membrane” refers to a composite regenerated cellulose membrane prepared by casting cellulose on a microporous substrate to control the average pore size and limit the number of defects in the cellulose sheet.

“Charged compound” refers to the compound linked to the filtration membrane, wherein the compound comprises a moiety having a positive or negative charge under the conditions used to separate a protein from a mixture of proteins. According to the invention, the charged compound may further comprise a linker arm between the membrane and the charged moiety such that the charged compound projects from the surface of the membrane. Where the charged compound projects from the surface of a pore into the lumen of the pore, the charged compound modifies the effective size of the pore and modifies the pore size distribution of the membrane.

“Reactive charged compound” refers to the charged compound prior to linkage to the membrane, such that the reactive charged compound still retains the reactive moiety that promotes the membrane-reactive charged compound reaction. For example, where the charged compound is a propyl trimethyl ammonium ion covalently attached to a cellulose membrane, the reactive charged compound may be bromopropyl trimethyl ammonium bromide. The covalent attachment involves nucleophilic displacement of the alkyl bromine by a primary alcohol of the cellulose matrix.

“Linker arm” refers to the portion of the charged compound molecule between the portion that reacts or has reacted with a reactive group on the surface of a filtration membrane and the charged moiety. Preferably, the linker arm is a chain of atoms or molecular subunits, which chain is inert to the reaction conditions used to covalently link the charged compound to the membrane, and is further inert to the aqueous conditions used during protein separation. A linker arm may comprise, but is not limited to, an alkyl chain of from one to twenty carbon atoms, a carbohydrate chain of from one to fifteen saccharide moities (including, for example, ribose and deoxyribose), a dextran chain of from one to fifteen saccharide moities, an amino acid chain of from one to twenty five amino acids, and other polymers (such as those used to manufacture the membrane itself) of from one to twenty five repeat units. Where a charged compound comprises an amino acid chain as a linker arm and the charged moiety is the terminal amino acid of the chain, the side chain of the terminal amino acid is preferably a charged side chain.

“Sieving” refers to the ratio of the concentration of a particular solute in the filtrate (downstream of the membrane) to the concentration of the same solute in the feed

solution (upstream of the membrane) (*see* Zeman and Zydney, *supra*, p. 308). Generally a high sieving value suggests that the solute readily passes through the membrane, while a low sieving value suggests that the solute is largely retained by the membrane. Where it is desired to retain a solute upstream of the membrane, a reduced sieving coefficient is preferred.

“Permeability” refers to the filtration rate divided by the net pressure drop across the membrane. Permeability is therefore the inverse of membrane resistance. Membrane permeability is primarily determined by pore size distribution, porosity (pore density), membrane thickness, and solvent viscosity. Generally, as permeability increases, sieving increases. When sieving is improved due to the addition of a charged compound to the membrane, the sieving improvement is an improvement relative to a membrane having substantially the same permeability as the charged membrane, but lacking the charged compound. Thus, where the improvement is a reduction in sieving because a charged solute, such as a protein, is retained by a like-charged membrane, the sieving is a reduction at comparable or substantially the same permeability. Consequently, the rate of filtration is maintained, while the selectivity of the membrane is improved.

“Pore size distribution” refers, basically, to the number of pores having an actual radius,  $R$ , near some theoretical radius,  $r$ , expressed as the probability density function (*see*, Zeman, L.J. and Zydney, A.L., *supra*, p. 299-301). As the standard deviation of actual pore radii increases, the pore size distribution increases. Narrowed pore size distribution results from a reduction in the standard deviation of the pores from the theoretical value. This is achieved, for example, when the sizes of some of the larger pores are reduced by addition of charged compound into the larger pores of a charged membrane. The principle of liquid-liquid pore intrusion is useful for measuring pore size distribution (*see* R. van Reis and A.L. Zydney, *supra*, p. 2201). According to this principle, two highly immiscible liquids, such as solutions of a sulfate salt and a poly(ethylene glycol) are contacted through mixing to reach equilibrium partitioning. The membrane to be tested is primed with one of the liquids so that all pores are filled. After draining the feed channels, the second fluid is introduced into the system. The first fluid is then displaced out of the pores by the second fluid, and the flow rate is measured as a function of trans-membrane pressure. The resulting data provide information on pore size distribution and can be correlated with the nominal molecular weight cutoff (*see* R. van Reis and A.L. Zydney, *supra*, p. 2201).

"Net charge" when referring to a membrane or protein charge is meant a charge that is predominately positive or negative, but does not refer to a specific value for the number of positive charges versus the number of negative charges on the membrane or protein, unless otherwise noted. Similarly, "like charge" and "same charge" refer to the situation in which a protein having a given charge, positive or negative, is compared to a membrane or other protein having a given charge, either positive or negative.

"Treatment" refers to both therapeutic treatment and prophylactic or preventative measures. Those in need of treatment include those already with the disorder as well as those in which the disorder is to be prevented.

A "disorder" is any condition that would benefit from treatment with the polypeptide purified as described herein. This includes chronic and acute disorders or diseases including those pathological conditions which predispose the mammal to the disorder in question.

The word "label" when used herein refers to a detectable compound or composition which is conjugated directly or indirectly to the polypeptide. The label may itself be detectable (*e.g.*, radioisotope labels or fluorescent labels) or, in the case of an enzymatic label, may catalyze chemical alteration of a substrate compound or composition which is detectable.

## B. Modes for Carrying Out the Invention

### 1. Protein Purification

Manufacturers of protein-based pharmaceutical products must comply with strict regulatory standards, including extremely stringent purity requirements. To ensure safety, regulatory agencies, such as the Food and Drug Administration (FDA), require that protein-based pharmaceuticals, including those produced by recombinant DNA technology, be substantially free from impurities, such as host cell proteins, viruses, DNA, endotoxins, aggregates, fragments, and variants of the recombinant protein, and the like. While various protein purification protocols are available and widely used in the pharmaceutical industry, they typically include affinity-purification, such as Protein A purification in the case of antibodies, in order to reach the required degree of purity. As indicated herein above, although Protein A affinity removes more than 99.5% of impurities, this benefit comes at a price. Protein A is significantly more expensive than the price of non-affinity media, and Protein A-based purification methods often raise

issues associated with resin stability, cleanability and lifetime, ligand leakage, and the potential immunogenicity of Protein A residues contaminating the purified product.

The present invention involves the purification of proteins, in particular recombinant proteins, by a protocol not including an affinity chromatography step. More specifically, the invention provides methods for the purification of (recombinant) proteins, including but not limited to antibodies, by steps not including affinity chromatography, to a degree that allows direct use of the purified proteins in human therapy, thereby eliminating costly affinity chromatography steps as well as a final ultrafiltration diafiltration frequently required to concentration and formulate a therapeutic protein.

The present invention is based on experimental findings demonstrating that recombinant proteins can be purified from a mixture comprising host cell proteins by purification schemes not employing affinity chromatography to the same degree as processes incorporating an affinity chromatography step. In particular, it was found that a three-step non-affinity purification process, including two non-affinity chromatography steps followed by high performance tangential flow filtration (HPTFF) as the last step, can yield a high-purity product that contains host cell protein impurities in an amount less than 100 parts per million (ppm).

The protein to be purified using the method described herein is generally produced using recombinant techniques. Methods for producing recombinant proteins are described, *e.g.*, in US Patent Nos. 5,534,615 and 4,816,567, specifically incorporated herein by reference. In preferred embodiments, the protein of interest is produced in a CHO cell (see, *e.g.* WO 94/11026). Examples of proteins which can be purified using the process described herein have been described above.

When using recombinant techniques, the protein can be produced intracellularly, in the periplasmic space, or directly secreted into the medium. If the protein is produced intracellularly, as a first step, the particulate debris, either host cells or lysed fragments, is removed, for example, by centrifugation or filtration. Where the protein is secreted into the medium, the recombinant host cells may be separated from the cell culture medium by tangential flow filtration, for example.

Once a mixture containing the protein of interest has been obtained, its separation from the other proteins produced by the cell is usually performed using a combination of different chromatography techniques. These techniques separate mixtures of proteins on

the basis of their charge, degree of hydrophobicity, or size. Several different chromatography resins are available for each of these techniques, allowing accurate tailoring of the purification scheme to the particular protein involved. The essence of each of these separation methods is that proteins can be caused either to move at different rates down a long column, achieving a physical separation that increases as they pass further down the column, or to adhere selectively to the separation medium, being then differentially eluted by different buffers. In some cases, the desired protein is separated from impurities when the impurities specifically adhere to the column, and the protein of interest does not, that is, the protein of interest is present in the "flow-through".

As noted before, according to the present invention, proteins can be purified to a degree characterized by the presence of less than 100 ppm host cell protein impurities by one or two non-affinity purification steps, followed by HPTFF. The non-affinity purification steps may be based on non-affinity chromatography, or may include non-chromatographic purification techniques.

Exemplary non-affinity chromatography purification steps include hydroxyapatite chromatography; hydrophobic interaction chromatography (HIC); reverse phase HPLC; chromatography on silica; chromatofocusing; and gel filtration; cation exchange (e.g., SP-Sepharose) chromatography; anion exchange (e.g., Q-Sepharose) chromatography, mixed mode chromatography (e.g., ABx), and hydrophobic charge induction chromatography.

Exemplary non-affinity, non-chromatographic purification steps include dialysis; ammonium sulphate precipitation; and ethanol precipitation.

In a preferred embodiment, the process of the present invention includes two chromatographic non-affinity separation steps, followed by HPTFF, optionally charged membrane HPTFF. In another preferred embodiment, the chromatographic non-affinity separation steps are selected from cation exchange chromatography, anion exchange chromatography, mixed mode ion exchange chromatography, hydrophobic interaction chromatography (HIC), and hydrophobic charge induction chromatography (HCI). In another preferred embodiment, the purification protocol includes the steps of (1) cation exchange chromatography, (2) anion exchange chromatography, and (3) HPTFF in this order, in the absence of any affinity purification steps, and, preferably, without further purification steps of any kind.

Ion exchange chromatography is a chromatographic technique that is commonly used for the purification of proteins. In ion exchange chromatography, charged patches on the surface of the solute are attracted by opposite charges attached to a chromatography matrix, provided the ionic strength of the surrounding buffer is low. Elution is generally achieved by increasing the ionic strength (*i.e.* conductivity) of the buffer to compete with the solute for the charged sites of the ion exchange matrix. Changing the pH and thereby altering the charge of the solute is another way to achieve elution of the solute. The change in conductivity or pH may be gradual (gradient elution) or stepwise (step elution). In the past, these changes have been progressive; *i.e.*, the pH or conductivity is increased or decreased in a single direction.

The impure preparation derived from the recombinant host cells is loaded on the equilibrated chromatography solid phase matrix using a loading buffer which may be the same as the equilibration buffer. As the impure preparation flows through the solid phase, the protein and other impurities (such as Chinese Hamster Ovary Proteins, CHOP, where the protein is produced in a CHO cell) bind differentially to the solid phase thereby effecting separation as the proteins pass through the chromatography column.

The amount and type of buffer, salt, and/or other compound in the buffer composition are such that the combined amount elutes the protein impurity(ies) differentially from the protein of interest, where the protein of interest may be retained relative to the impurities or the impurities are retained relative to the protein of interest. Buffers salts and other additives useful in practicing the invention include without limitation buffer salts such as acetate, citrate, histidine, phosphate, ammonium acetate, MES, CHAPS, MOPSO, Tris, and the like; salts for adjusting buffer ionic strength such as sodium chloride and potassium chloride; and other additives such as amino acids (such as glycine and histidine), chaotropes (such as urea), alcohols (such as ethanol, mannitol, glycerol, and benzyl alcohol), detergent (such as Tween™ and C12E8), and sugars (such as sucrose, mannitol, maltose, trehalose, glucose, and fructose). Any of these buffers and additives and the concentrations used may vary according to the type of chromatography practiced, which buffer and additive compositions and concentrations are readily determined by standard methods.

The pH of the elution buffer may be from about 2 to about 9, alternatively from about 3 to about 8, from about 4 to about 8, or from about 5 to about 8, although the pH or pH range for elution will be determined according to the protein of interest and the

type of chromatography and HPTFF practiced. Appropriate pH ranges for a loading, wash, or elution buffer are readily determined by standard methods such that the protein of interest is recovered in an active form. Examples of elution buffers for this purpose include citrate or acetate buffers.

5           The ionic strength of a buffer (measured as conductivity, for example) may be from about 0.2-20 mS/cm, alternatively from about 0.2-8 mS/cm, from about .2-6 mS/cm, from about 0.2-4 mS/cm, from about 0.2-2 mS/cm, or from about 1-2 mS/cm, although the ionic strength or ionic strength range for a load, wash, or elution buffer will be determined according to the protein of interest and the type of chromatography  
10           practiced and diafiltration buffers for the HPTFF method practiced. Appropriate ionic strength ranges for a buffer are readily determined by standard methods such that the protein of interest is recovered in an active form.

          The cation exchange chromatography step typically removes at least part of the host cell proteins, e.g. CHOP, if the protein was produced in CHO cells, and variants,  
15           degradation products, and aggregates of the protein to be purified. The anion exchange chromatography step further purifies the protein from the remaining host cell proteins, e.g. CHOP, variants, degradation products, and aggregates of the protein, and also from endotoxins and DNA impurities.

          Following non-affinity chromatography or other non-affinity purification, the  
20           eluted protein of interest is subject to HPTFF. HPTFF is a two-dimensional unit operation that selectively separates solutes on the basis of both size and charge. HPTFF is able to provide the high selectivity required for effective protein purification by exploiting several recent developments. First, unlike traditional membrane processes, HPTFF is operated in the pressure-dependent regime under conditions to minimize  
25           fouling, exploit concentration polarization, optimize separation by maintaining a nearly uniform flux and transmembrane pressure throughout the separation module (van Reis, R., U.S. Patent Nos. 5,256,694; 5,490,937; and 6,054,051, *supra*). Separation selectivity can be improved by controlling filtrate buffer pH and ionic strength to maximize differences in effective volume of the different species in a mixture (van Reis *et al.*  
30           (2001), *supra*; van Reis *et al.* (1997), *supra*; and Saksena, S. and Zydney, A.L., *Biotechnol. Bioeng.* 43:960-968 (1994)). In addition, the electrical charge of the membrane can be modified to increase the electrostatic exclusion of all species with like charge. Thus, a positively charged membrane will reject a positively charged protein to a



greater extent than a negatively charged membrane of a similar pore size (van Reis *et al.* (2001), *supra*; Nakao, S. *et al.*, *Desalination* 70 :191-205 (1988) ; and van Reis *et al.*, *J. Membr. Sci.* 159 :133-142 (1999)). Further, protein separations in HPTFF are accomplished using a diafiltration mode in which the impurity (or product) is washed out of the retentate by simultaneously adding fresh buffer to the feed reservoir as filtrate is removed through the membrane. This buffer addition maintains an appropriate protein concentration in the retentate throughout the separation. Diafiltration also makes it possible to obtain purification factors for products collected in the retentate that are greater than the membrane selectivity due to the continual removal of impurities in the filtrate (van Reis *et al.* (2001), *supra*; and van Reis, R. and Saksena, S., *J. Membr. Sci.* 129:19-29 (1997)).

An HPTFF filtration membrane useful for protein separations is a synthetic (frequently polymeric) selective barrier for industrial or lab-scale ultrafiltration (UF) (*see* Leos J. Zeman and Andrew L. Zydney, "Microfiltration and Ultrafiltration: Principles and Applications," 1996, Marcel Dekker, Inc., p. 3). In these processes, certain feed stream components, such as proteins, pass through pores of the membrane into a filtrate, while other, usually larger, proteins or components are retained by the membrane in the retentate (*see* Zeman and Zydney, *supra*, p. 3).

Protein ultrafiltration is a pressure-driven membrane process used for the concentration or purification of protein solutions (Robert van Reis and Andrew L. Zydney, "Protein Ultrafiltration" in Encyclopedia of Bioprocess Technology: Fermentation, Biocatalysis, and Bioseparation, M.C. Flickinger and S.W. Drew, eds., John Wiley & Sons, Inc. (1999), p. 2197). UF membranes typically have a mean pore size between 10 and 500 Angstroms, which is between the mean pore size of reverse osmosis and microfiltration membranes. Ultrafiltration separates solutes based on differences in the rate of filtration of different components across the membrane in response to a given pressure driving force (R. van Reis and A.L. Zydney, *supra*, p. 2197). Solute filtration rates, and thus membrane selectivity, are determined by both thermodynamic and hydrodynamic interactions (R. van Reis and A.L. Zydney, *supra*, p. 2197). Ultrafiltration is frequently used in downstream processing for protein concentration, buffer exchange and desalting, protein purification, virus clearance, and clarification (R. van Reis and A.L. Zydney, *supra*, p. 2197).

Using HPTFF, the desired protein is collected in either the retentate or filtrate depending on the relative filtration rates (R. van Reis and A.L. Zydney, *supra*, p. 2197). HPTFF is useful for separating proteins of similar size using the above-described semipermeable membranes (See, for example, R. van Reis, *et al.*, Biotech. Bioeng. 56:71-82 (1997) and R. van Reis *et al.*, J. Memb. Sci. 159:133-142 (1999)). HPTFF achieves high selectivity by controlling filtrate flux and device fluid mechanics in order to minimize fouling and exploit the effects of concentration polarization (R. van Reis *et al.*, J. Memb. Sci. 159:133-142 (1999)).

The performance of HPTFF can be evaluated by two parameters, selectivity and throughput, which are used to optimize process yield and purification factor (van Reis and Saksena, *supra*, 1997; van Reis *et al.*, *supra*, 1999). The selectivity is defined as the ratio of the observed sieving coefficients of the permeable and retained solutes. Since the present HPTFF application is based on the retention of a target protein and the sieving of HCP, or impurities, the selectivity is described as:

$$\Psi = \frac{S_{HCP}}{S_{Target\ protein}} \quad \text{Equation 1}$$

where the sieving coefficient is defined as the dimensionless ratio:

$$S = \frac{C_{filtrate}}{C_{Feed}} \quad \text{Equation 2}$$

with  $C_{filtrate}$  and  $C_{feed}$  the solute concentrations in the filtrate and in the feed lines.

The throughput is defined as the product of the filtrate flux and the difference in sieving between the permeable and retained solutes:

$$J \cdot \Delta S = J \cdot (S_{HCP} - S_{Target\ protein}) \quad \text{Equation 3}$$

Another calculated process parameter is the retentate yield during constant volume diafiltration. This yield is expressed as:

$$Y = e^{-NS_{Target\ protein}} \quad \text{Equation 4}$$

where N equals the number of diavolumes and S equals the sieving of the considered solute.

Further details of the HPTFF purification steps will be provided in the Examples below.

The preferred measure of protein purification by the process of the present invention is the measure of host cell protein impurity, e.g. CHOP impurity, where the recombinant protein to be purified is produced in CHO cells. The purified protein

preferably should contain less than 100, more preferably less than 90, less than 80, less than 70, less than 60, less than 50, less than 40, less than 30, less than 20, less than 10, less than 5 ppm, or less than 3ppm of host cell proteins, e.g. CHOP, where the ppm values are calculated as defined above.

5           The protein thus recovered may be formulated in a pharmaceutically acceptable carrier and is used for various diagnostic, therapeutic or other uses known for such molecules.

## 2.     Antibodies

10           The preferred protein to be purified according to the present invention is an antibody. In particular, as described in the Examples below, for purification of recombinant humanized monoclonal antibody (RhuMAb), conditioned Harvested Cell Culture Fluid (HCCF) from chinese hamster ovary (CHO) cells expressing RhuMAb was loaded onto an initial cation exchange column (SP-Sepharose Fast Flow Resin, Amersham Biosciences; (S)). The material collected from the S column, the S pool, was  
15           collected from the SP-Sepharose column, conditioned and then loaded onto an anion exchange (Q-Sepharose Fast Flow resin, Amersham Biosciences; (Q)). The material collected from the anion exchange column (such as the Q column, as each the Q pool) was further purified by HPTFF.

20           Antibodies within the scope of the present invention include, but are not limited to: anti-HER2 antibodies including Trastuzumab (HERCEPTIN®) (Carter *et al.*, *Proc. Natl. Acad. Sci. USA*, 89:4285-4289 (1992), U.S. Patent No. 5,725,856); anti-CD20 antibodies such as chimeric anti-CD20 "C2B8" as in US Patent No. 5,736,137 (RITUXAN®), a chimeric or humanized variant of the 2H7 antibody as in US Patent No. 5,721,108, B1, or Tositumomab (BEXXAR®); anti-IL-8 (St John *et al.*, *Chest*, 103:932  
25           (1993), and International Publication No. WO 95/23865); anti-VEGF antibodies including humanized and/or affinity matured anti-VEGF antibodies such as the humanized anti-VEGF antibody huA4.6.1 AVASTIN® (Kim *et al.*, *Growth Factors*, 7:53-64 (1992), International Publication No. WO 96/30046, and WO 98/45331, published October 15, 1998); anti-PSCA antibodies (WO01/40309); anti-CD40  
30           antibodies, including S2C6 and humanized variants thereof (WO00/75348); anti-CD11a (US Patent No. 5,622,700, WO 98/23761, Steppe *et al.*, *Transplant Intl.* 4:3-7 (1991), and Hourmant *et al.*, *Transplantation* 58:377-380 (1994)); anti-IgE (Presta *et al.*, *J. Immunol.* 151:2623-2632 (1993), and International Publication No. WO 95/19181); anti-

CD18 (US Patent No. 5,622,700, issued April 22, 1997, or as in WO 97/26912, published July 31, 1997); anti-IgE (including E25, E26 and E27; US Patent No. 5,714,338, issued February 3, 1998 or US Patent No. 5,091,313, issued February 25, 1992, WO 93/04173 published March 4, 1993, or International Application No. 5 PCT/US98/13410 filed June 30, 1998, US Patent No. 5,714,338); anti-Apo-2 receptor antibody (WO 98/51793 published November 19, 1998); anti-TNF- $\alpha$  antibodies including cA2 (REMICADE®), CDP571 and MAK-195 (See, US Patent No. 5,672,347 issued September 30, 1997, Lorenz *et al. J. Immunol.* 156(4):1646-1653 (1996), and Dhainaut *et al. Crit. Care Med.* 23(9):1461-1469 (1995)); anti-Tissue Factor (TF) 10 (European Patent No. 0 420 937 B1 granted November 9, 1994); anti-human  $\alpha_4\beta_7$  integrin (WO 98/06248 published February 19, 1998); anti-EGFR (chimerized or humanized 225 antibody as in WO 96/40210 published December 19, 1996); anti-CD3 antibodies such as OKT3 (US Patent No. 4,515,893 issued May 7, 1985); anti-CD25 or anti-tac antibodies such as CHI-621 (SIMULECT®) and (ZENAPAX®) (See US Patent 15 No. 5,693,762 issued December 2, 1997); anti-CD4 antibodies such as the cM-7412 antibody (Choy *et al. Arthritis Rheum* 39(1):52-56 (1996)); anti-CD52 antibodies such as CAMPATH-1H (Riechmann *et al. Nature* 332:323-337 (1988)); anti-Fc receptor antibodies such as the M22 antibody directed against Fc $\gamma$ RI as in Graziano *et al. J. Immunol.* 155(10):4996-5002 (1995); anti-carcinoembryonic antigen (CEA) antibodies 20 such as hMN-14 (Sharkey *et al. Cancer Res.* 55(23Suppl): 5935s-5945s (1995); antibodies directed against breast epithelial cells including huBrE-3, hu-Mc 3 and CHL6 (Ceriani *et al. Cancer Res.* 55(23): 5852s-5856s (1995); and Richman *et al. Cancer Res.* 55(23 Suppl): 5916s-5920s (1995)); antibodies that bind to colon carcinoma cells such as C242 (Litton *et al. Eur J. Immunol.* 26(1):1-9 (1996)); anti-CD38 antibodies, *e.g.* AT 25 13/5 (Ellis *et al. J. Immunol.* 155(2):925-937 (1995)); anti-CD33 antibodies such as Hu M195 (Jurcic *et al. Cancer Res* 55(23 Suppl):5908s-5910s (1995) and CMA-676 or CDP771; anti-CD22 antibodies such as LL2 or LymphoCide (Juweid *et al. Cancer Res* 55(23 Suppl):5899s-5907s (1995)); anti-EpCAM antibodies such as 17-1A (PANOREX®); anti-GpIIb/IIIa antibodies such as abciximab or c7E3 Fab (REOPRO®); 30 anti-RSV antibodies such as MEDI-493 (SYNAGIS®); anti-CMV antibodies such as PROTOVIR®; anti-HIV antibodies such as PRO542; anti-hepatitis antibodies such as the anti-Hep B antibody OSTAVIR®; anti-CA 125 antibody OvaRex; anti-idiotypic GD3 epitope antibody BEC2; anti- $\alpha v\beta 3$  antibody VITAXIN®; anti-human renal cell

carcinoma antibody such as ch-G250; ING-1; anti-human 17-1A antibody (3622W94); anti-human colorectal tumor antibody (A33); anti-human melanoma antibody R24 directed against GD3 ganglioside; anti-human squamous-cell carcinoma (SF-25); and anti-human leukocyte antigen (HLA) antibodies such as Smart ID10 and the anti-HLA DR antibody Oncolym (Lym-1). The preferred target antigens for the antibody herein are: HER2 receptor, VEGF, IgE, CD20, CD11a, and CD40.

Aside from the antibodies specifically identified above, the skilled practitioner could generate antibodies directed against an antigen of interest, *e.g.*, using the techniques described below.

(a) *Antigen selection and preparation*

The antibody herein is directed against an antigen of interest. Preferably, the antigen is a biologically important polypeptide and administration of the antibody to a mammal suffering from a disease or disorder can result in a therapeutic benefit in that mammal. However, antibodies directed against nonpolypeptide antigens (such as tumor-associated glycolipid antigens; see US Patent 5,091,178) are also contemplated. Where the antigen is a polypeptide, it may be a transmembrane molecule (*e.g.* receptor) or ligand such as a growth factor. Exemplary antigens include those proteins described in section (3) below. Exemplary molecular targets for antibodies encompassed by the present invention include CD proteins such as CD3, CD4, CD8, CD19, CD20, CD22, CD34, CD40; members of the ErbB receptor family such as the EGF receptor, HER2, HER3 or HER4 receptor; cell adhesion molecules such as LFA-1, Mac1, p150,95, VLA-4, ICAM-1, VCAM and  $\alpha v/\beta 3$  integrin including either  $\alpha$  or  $\beta$  subunits thereof (*e.g.* anti-CD11a, anti-CD18 or anti-CD11b antibodies); growth factors such as VEGF; IgE; blood group antigens; flk2/flt3 receptor; obesity (OB) receptor; *mpl* receptor; CTLA-4; protein C, or any of the other antigens mentioned herein. Antigens to which the antibodies listed above bind are specifically included within the scope herein.

Soluble antigens or fragments thereof, optionally conjugated to other molecules, can be used as immunogens for generating antibodies. For transmembrane molecules, such as receptors, fragments of these (*e.g.* the extracellular domain of a receptor) can be used as the immunogen. Alternatively, cells expressing the transmembrane molecule can be used as the immunogen. Such cells can be derived from a natural source (*e.g.* cancer cell lines) or may be cells which have been transformed by recombinant techniques to express the transmembrane molecule.

Other antigens and forms thereof useful for preparing antibodies will be apparent to those in the art.

(b) *Polyclonal antibodies*

Polyclonal antibodies are preferably raised in animals by multiple subcutaneous (sc) or intraperitoneal (ip) injections of the relevant antigen and an adjuvant. It may be useful to conjugate the antigen to a protein that is immunogenic in the species to be immunized, *e.g.*, keyhole limpet hemocyanin, serum albumin, bovine thyroglobulin, or soybean trypsin inhibitor using a bifunctional or derivatizing agent, for example, maleimidobenzoyl sulfosuccinimide ester (conjugation through cysteine residues), N-hydroxysuccinimide (through lysine residues), glutaraldehyde, succinic anhydride,  $\text{SOCl}_2$ , or  $\text{R}^1\text{N}=\text{C}=\text{NR}$ , where R and  $\text{R}^1$  are different alkyl groups.

Animals are immunized against the antigen, immunogenic conjugates, or derivatives by combining, *e.g.*, 100  $\mu\text{g}$  or 5  $\mu\text{g}$  of the protein or conjugate (for rabbits or mice, respectively) with 3 volumes of Freund's complete adjuvant and injecting the solution intradermally at multiple sites. One month later the animals are boosted with 1/5 to 1/10 the original amount of antigen or conjugate in Freund's complete adjuvant by subcutaneous injection at multiple sites. Seven to 14 days later the animals are bled and the serum is assayed for antibody titer. Animals are boosted until the titer plateaus. Preferably, the animal is boosted with the conjugate of the same antigen, but conjugated to a different protein and/or through a different cross-linking reagent. Conjugates also can be made in recombinant cell culture as protein fusions. Also, aggregating agents such as alum are suitably used to enhance the immune response.

(c) *Monoclonal antibodies*

Monoclonal antibodies may be made using the hybridoma method first described by Kohler *et al.*, *Nature*, 256:495 (1975), or may be made by recombinant DNA methods (U.S. Patent No. 4,816,567).

In the hybridoma method, a mouse or other appropriate host animal, such as a hamster or macaque monkey, is immunized as hereinabove described to elicit lymphocytes that produce or are capable of producing antibodies that will specifically bind to the protein used for immunization. Alternatively, lymphocytes may be immunized *in vitro*. Lymphocytes then are fused with myeloma cells using a suitable fusing agent, such as polyethylene glycol, to form a hybridoma cell (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 (Academic Press, 1986)).

The hybridoma cells thus prepared are seeded and grown in a suitable culture medium that preferably contains one or more substances that inhibit the growth or survival of the unfused, parental myeloma cells. For example, if the parental myeloma cells lack the enzyme hypoxanthine guanine phosphoribosyl transferase (HGPRT or HPRT), the culture medium for the hybridomas typically will include hypoxanthine,  
5 aminopterin, and thymidine (HAT medium), which substances prevent the growth of HGPRT-deficient cells.

Preferred myeloma cells are those that fuse efficiently, support stable high-level production of antibody by the selected antibody-producing cells, and are sensitive to a medium such as HAT medium. Among these, preferred myeloma cell lines are murine  
10 myeloma lines, such as those derived from MOPC-21 and MPC-11 mouse tumors available from the Salk Institute Cell Distribution Center, San Diego, California USA, and SP-2 or X63-Ag8-653 cells available from the American Type Culture Collection, Rockville, Maryland USA. Human myeloma and mouse-human heteromyeloma cell  
15 lines also have been described for the production of human monoclonal antibodies (Kozbor, *J. Immunol.*, 133:3001 (1984); Brodeur *et al.*, *Monoclonal Antibody Production Techniques and Applications*, pp. 51-63 (Marcel Dekker, Inc., New York, 1987)).

Culture medium in which hybridoma cells are growing is assayed for production of monoclonal antibodies directed against the antigen. Preferably, the binding specificity  
20 of monoclonal antibodies produced by hybridoma cells is determined by immunoprecipitation or by an *in vitro* binding assay, such as radioimmunoassay (RIA) or enzyme-linked immunoabsorbent assay (ELISA).

After hybridoma cells are identified that produce antibodies of the desired specificity, affinity, and/or activity, the clones may be subcloned by limiting dilution  
25 procedures and grown by standard methods (Goding, *Monoclonal Antibodies: Principles and Practice*, pp.59-103 (Academic Press, 1986)). Suitable culture media for this purpose include, for example, D-MEM or RPMI-1640 medium. In addition, the hybridoma cells may be grown *in vivo* as ascites tumors in an animal.

The monoclonal antibodies secreted by the subclones are suitably separated from  
30 the culture medium, ascites fluid, or serum by conventional immunoglobulin purification procedures such as, for example, Protein A-Sepharose, hydroxyapatite chromatography, gel electrophoresis, dialysis, or affinity chromatography. Preferably the Protein A chromatography procedure described herein is used.

DNA encoding the monoclonal antibodies is readily isolated and sequenced using conventional procedures (e.g., by using oligonucleotide probes that are capable of binding specifically to genes encoding the heavy and light chains of the monoclonal antibodies). The hybridoma cells serve as a preferred source of such DNA. Once  
5 isolated, the DNA may be placed into expression vectors, which are then transfected into host cells such as *E. coli* cells, simian COS cells, Chinese hamster ovary (CHO) cells, or myeloma cells that do not otherwise produce immunoglobulin protein, to obtain the synthesis of monoclonal antibodies in the recombinant host cells.

The DNA also may be modified, for example, by substituting the coding  
10 sequence for human heavy- and light-chain constant domains in place of the homologous murine sequences (U.S. Patent No. 4,816,567; Morrison, *et al.*, *Proc. Natl Acad. Sci. USA*, 81:6851 (1984)), or by covalently joining to the immunoglobulin coding sequence all or part of the coding sequence for a non-immunoglobulin polypeptide.

Typically such non-immunoglobulin polypeptides are substituted for the constant  
15 domains of an antibody, or they are substituted for the variable domains of one antigen-combining site of an antibody to create a chimeric bivalent antibody comprising one antigen-combining site having specificity for an antigen and another antigen-combining site having specificity for a different antigen.

In a further embodiment, monoclonal antibodies can be isolated from antibody  
20 phage libraries generated using the techniques described in McCafferty *et al.*, *Nature*, 348:552-554 (1990). Clackson *et al.*, *Nature*, 352:624-628 (1991) and Marks *et al.*, *J. Mol. Biol.*, 222:581-597 (1991) describe the isolation of murine and human antibodies, respectively, using phage libraries. Subsequent publications describe the production of high affinity (nM range) human antibodies by chain shuffling (Marks *et al.*,  
25 *Bio/Technology*, 10:779-783 (1992)), as well as combinatorial infection and *in vivo* recombination as a strategy for constructing very large phage libraries (Waterhouse *et al.*, *Nuc. Acids. Res.*, 21:2265-2266 (1993)). Thus, these techniques are viable alternatives to traditional hybridoma techniques for isolation of monoclonal antibodies.

#### (d) Humanized and human antibodies

30 A humanized antibody has one or more amino acid residues introduced into it from a source which is non-human. These non-human amino acid residues are often referred to as "import" residues, which are typically taken from an "import" variable domain. Humanization can be essentially performed following the method of Winter and



co-workers (Jones *et al.*, *Nature*, 321:522-525 (1986); Riechmann *et al.*, *Nature*, 332:323-327 (1988); Verhoeyen *et al.*, *Science*, 239:1534-1536 (1988)), by substituting rodent CDRs or CDR sequences for the corresponding sequences of a human antibody. Accordingly, such "humanized" antibodies are chimeric antibodies (U.S. Patent No. 4,816,567) wherein substantially less than an intact human variable domain has been substituted by the corresponding sequence from a non-human species. In practice, humanized antibodies are typically human antibodies in which some CDR residues and possibly some FR residues are substituted by residues from analogous sites in rodent antibodies.

The choice of human variable domains, both light and heavy, to be used in making the humanized antibodies is very important to reduce antigenicity. According to the so-called "best-fit" method, the sequence of the variable domain of a rodent antibody is screened against the entire library of known human variable-domain sequences. The human sequence which is closest to that of the rodent is then accepted as the human FR for the humanized antibody (Sims *et al.*, *J. Immunol.*, 151:2296 (1993)). Another method uses a particular framework derived from the consensus sequence of all human antibodies of a particular subgroup of light or heavy chains. The same framework may be used for several different humanized antibodies (Carter *et al.*, *Proc. Natl. Acad. Sci. USA*, 89:4285 (1992); Presta *et al.*, *J. Immunol.*, 151:2623 (1993)).

It is further important that antibodies be humanized with retention of high affinity for the antigen and other favorable biological properties. To achieve this goal, according to a preferred method, humanized antibodies are prepared by a process of analysis of the parental sequences and various conceptual humanized products using three-dimensional models of the parental and humanized sequences. Three-dimensional immunoglobulin models are commonly available and are familiar to those skilled in the art. Computer programs are available which illustrate and display probable three-dimensional conformational structures of selected candidate immunoglobulin sequences. Inspection of these displays permits analysis of the likely role of the residues in the functioning of the candidate immunoglobulin sequence, *i.e.*, the analysis of residues that influence the ability of the candidate immunoglobulin to bind its antigen. In this way, FR residues can be selected and combined from the recipient and import sequences so that the desired antibody characteristic, such as increased affinity for the target antigen(s), is achieved.

In general, the CDR residues are directly and most substantially involved in influencing antigen binding.

Alternatively, it is now possible to produce transgenic animals (*e.g.*, mice) that are capable, upon immunization, of producing a full repertoire of human antibodies in the absence of endogenous immunoglobulin production. For example, it has been described that the homozygous deletion of the antibody heavy-chain joining region ( $J_H$ ) gene in chimeric and germ-line mutant mice results in complete inhibition of endogenous antibody production. Transfer of the human germ-line immunoglobulin gene array in such germ-line mutant mice will result in the production of human antibodies upon antigen challenge. See, *e.g.*, Jakobovits *et al.*, *Proc. Natl. Acad. Sci. USA*, 90:2551 (1993); Jakobovits *et al.*, *Nature*, 362:255-258 (1993); Bruggermann *et al.*, *Year in Immuno.*, 7:33 (1993); and Duchosal *et al.* *Nature* 355:258 (1992). Human antibodies can also be derived from phage-display libraries (Hoogenboom *et al.*, *J. Mol. Biol.*, 227:381 (1991); Marks *et al.*, *J. Mol. Biol.*, 222:581-597 (1991); Vaughan *et al.* *Nature Biotech* 14:309 (1996)).

(e) *Antibody fragments*

Various techniques have been developed for the production of antibody fragments. Traditionally, these fragments were derived via proteolytic digestion of intact antibodies (see, *e.g.*, Morimoto *et al.*, *Journal of Biochemical and Biophysical Methods* 24:107-117 (1992) and Brennan *et al.*, *Science*, 229:81 (1985)). However, these fragments can now be produced directly by recombinant host cells. For example, the antibody fragments can be isolated from the antibody phage libraries discussed above. Alternatively, Fab'-SH fragments can be directly recovered from *E. coli* and chemically coupled to form F(ab')<sub>2</sub> fragments (Carter *et al.*, *Bio/Technology* 10:163-167 (1992)). According to another approach, F(ab')<sub>2</sub> fragments can be isolated directly from recombinant host cell culture. Other techniques for the production of antibody fragments will be apparent to the skilled practitioner. In other embodiments, the antibody of choice is a single chain Fv fragment (scFv). See WO 93/16185.

(e) *Multispecific antibodies*

Multispecific antibodies have binding specificities for at least two different antigens. While such molecules normally will only bind two antigens (*i.e.* bispecific antibodies, BsAbs), antibodies with additional specificities such as trispecific antibodies are encompassed by this expression when used herein.

Methods for making bispecific antibodies are known in the art. Traditional production of full length bispecific antibodies is based on the coexpression of two immunoglobulin heavy chain-light chain pairs, where the two chains have different specificities (Millstein *et al.*, *Nature*, 305:537-539 (1983)). Because of the random  
5 assortment of immunoglobulin heavy and light chains, these hybridomas (quadromas) produce a potential mixture of 10 different antibody molecules, of which only one has the correct bispecific structure. Purification of the correct molecule, which is usually done by affinity chromatography steps, is rather cumbersome, and the product yields are low. Similar procedures are disclosed in WO 93/08829, and in Traunecker *et al.*, *EMBO J.*, 10:3655-3659 (1991).  
10

According to another approach described in W096/27011, the interface between a pair of antibody molecules can be engineered to maximize the percentage of heterodimers which are recovered from recombinant cell culture. The preferred interface comprises at least a part of the C<sub>H</sub>3 domain of an antibody constant domain. In this  
15 method, one or more small amino acid side chains from the interface of the first antibody molecule are replaced with larger side chains (*e.g.* tyrosine or tryptophan). Compensatory "cavities" of identical or similar size to the large side chain(s) are created on the interface of the second antibody molecule by replacing large amino acid side chains with smaller ones (*e.g.* alanine or threonine). This provides a mechanism for  
20 increasing the yield of the heterodimer over other unwanted end-products such as homodimers.

Bispecific antibodies include cross-linked or "heteroconjugate" antibodies. For example, one of the antibodies in the heteroconjugate can be coupled to avidin, the other to biotin. Such antibodies have, for example, been proposed to target immune system  
25 cells to unwanted cells (US Patent No. 4,676,980), and for treatment of HIV infection (WO 91/00360, WO 92/200373, and EP 03089). Heteroconjugate antibodies may be made using any convenient cross-linking methods. Suitable cross-linking agents are well known in the art, and are disclosed in US Patent No. 4,676,980, along with a number of cross-linking techniques.

30 Techniques for generating bispecific antibodies from antibody fragments have also been described in the literature. For example, bispecific antibodies can be prepared using chemical linkage. Brennan *et al.*, *Science*, 229: 81 (1985) describe a procedure wherein intact antibodies are proteolytically cleaved to generate F(ab')<sub>2</sub> fragments.

These fragments are reduced in the presence of the dithiol complexing agent sodium arsenite to stabilize vicinal dithiols and prevent intermolecular disulfide formation. The Fab' fragments generated are then converted to thionitrobenzoate (TNB) derivatives. One of the Fab'-TNB derivatives is then reconverted to the Fab'-thiol by reduction with mercaptoethylamine and is mixed with an equimolar amount of the other Fab'-TNB derivative to form the bispecific antibody. The bispecific antibodies produced can be used as agents for the selective immobilization of enzymes.

Recent progress has facilitated the direct recovery of Fab'-SH fragments from *E. coli*, which can be chemically coupled to form bispecific antibodies. Shalaby *et al.*, *J. Exp. Med.*, 175: 217-225 (1992) describe the production of a fully humanized bispecific antibody F(ab')<sub>2</sub> molecule. Each Fab' fragment was separately secreted from *E. coli* and subjected to directed chemical coupling *in vitro* to form the bispecific antibody. The bispecific antibody thus formed was able to bind to cells overexpressing the ErbB2 receptor and normal human T cells, as well as trigger the lytic activity of human cytotoxic lymphocytes against human breast tumor targets.

Various techniques for making and isolating bispecific antibody fragments directly from recombinant cell culture have also been described. For example, bispecific antibodies have been produced using leucine zippers. Kostelny *et al.*, *J. Immunol.*, 148(5):1547-1553 (1992). The leucine zipper peptides from the Fos and Jun proteins were linked to the Fab' portions of two different antibodies by gene fusion. The antibody homodimers were reduced at the hinge region to form monomers and then re-oxidized to form the antibody heterodimers. This method can also be utilized for the production of antibody homodimers. The "diabody" technology described by Hollinger *et al.*, *Proc. Natl. Acad. Sci. USA*, 90:6444-6448 (1993) has provided an alternative mechanism for making bispecific antibody fragments. The fragments comprise a heavy-chain variable domain (V<sub>H</sub>) connected to a light-chain variable domain (V<sub>L</sub>) by a linker which is too short to allow pairing between the two domains on the same chain. Accordingly, the V<sub>H</sub> and V<sub>L</sub> domains of one fragment are forced to pair with the complementary V<sub>L</sub> and V<sub>H</sub> domains of another fragment, thereby forming two antigen-binding sites. Another strategy for making bispecific antibody fragments by the use of single-chain Fv (sFv) dimers has also been reported. See Gruber *et al.*, *J. Immunol.*, 152:5368 (1994). Alternatively, the antibodies can be "linear antibodies" as described in Zapata *et al. Protein Eng.* 8(10):1057-1062 (1995). Briefly, these antibodies comprise a pair of

tandem Fd segments ( $V_H$ - $C_{H1}$ - $V_H$ - $C_{H1}$ ) which form a pair of antigen binding regions. Linear antibodies can be bispecific or monospecific.

Antibodies with more than two valencies are contemplated. For example, trispecific antibodies can be prepared. Tutt *et al. J. Immunol.* 147: 60 (1991).

### 3. Immunoadhesins

The simplest and most straightforward immunoadhesin design combines the binding domain(s) of the adhesin (*e.g.* the extracellular domain (ECD) of a receptor) with the hinge and Fc regions of an immunoglobulin heavy chain. Ordinarily, when preparing the immunoadhesins of the present invention, nucleic acid encoding the binding domain of the adhesin will be fused C-terminally to nucleic acid encoding the N-terminus of an immunoglobulin constant domain sequence, however N-terminal fusions are also possible.

Typically, in such fusions the encoded chimeric polypeptide will retain at least functionally active hinge,  $C_{H2}$  and  $C_{H3}$  domains of the constant region of an immunoglobulin heavy chain. Fusions are also made to the C-terminus of the Fc portion of a constant domain, or immediately N-terminal to the  $C_{H1}$  of the heavy chain or the corresponding region of the light chain. The precise site at which the fusion is made is not critical; particular sites are well known and may be selected in order to optimize the biological activity, secretion, or binding characteristics of the immunoadhesin.

In a preferred embodiment, the adhesin sequence is fused to the N-terminus of the Fc domain of immunoglobulin  $G_1$  (IgG<sub>1</sub>). It is possible to fuse the entire heavy chain constant region to the adhesin sequence. However, more preferably, a sequence beginning in the hinge region just upstream of the papain cleavage site which defines IgG Fc chemically (*i.e.* residue 216, taking the first residue of heavy chain constant region to be 114), or analogous sites of other immunoglobulins is used in the fusion. In a particularly preferred embodiment, the adhesin amino acid sequence is fused to (a) the hinge region and  $C_{H2}$  and  $C_{H3}$  or (b) the  $C_{H1}$ , hinge,  $C_{H2}$  and  $C_{H3}$  domains, of an IgG heavy chain.

For bispecific immunoadhesins, the immunoadhesins are assembled as multimers, and particularly as heterodimers or heterotetramers. Generally, these assembled immunoglobulins will have known unit structures. A basic four chain structural unit is the form in which IgG, IgD, and IgE exist. A four chain unit is repeated in the higher molecular weight immunoglobulins; IgM generally exists as a pentamer of

four basic units held together by disulfide bonds. IgA globulin, and occasionally IgG globulin, may also exist in multimeric form in serum. In the case of multimer, each of the four units may be the same or different.

Various exemplary assembled immunoadhesins within the scope herein are schematically diagrammed below:

- (a)  $AC_L-AC_L$ ;
- (b)  $AC_H-(AC_H, AC_L-AC_H, AC_L-V_HC_H, \text{ or } V_LC_L-AC_H)$ ;
- (c)  $AC_L-AC_H-(AC_L-AC_H, AC_L-V_HC_H, V_LC_L-AC_H, \text{ or } V_LC_L-V_HC_H)$
- (d)  $AC_L-V_HC_H-(AC_H, \text{ or } AC_L-V_HC_H, \text{ or } V_LC_L-AC_H)$ ;
- (e)  $V_LC_L-AC_H-(AC_L-V_HC_H, \text{ or } V_LC_L-AC_H)$ ; and
- (f)  $(A-Y)_n-(V_LC_L-V_HC_H)_2$ ,

wherein each A represents identical or different adhesin amino acid sequences;

$V_L$  is an immunoglobulin light chain variable domain;

$V_H$  is an immunoglobulin heavy chain variable domain;

$C_L$  is an immunoglobulin light chain constant domain;

$C_H$  is an immunoglobulin heavy chain constant domain;

$n$  is an integer greater than 1;

$Y$  designates the residue of a covalent cross-linking agent.

In the interests of brevity, the foregoing structures only show key features; they do not indicate joining (J) or other domains of the immunoglobulins, nor are disulfide bonds shown. However, where such domains are required for binding activity, they shall be constructed to be present in the ordinary locations which they occupy in the immunoglobulin molecules.

Alternatively, the adhesin sequences can be inserted between immunoglobulin heavy chain and light chain sequences, such that an immunoglobulin comprising a chimeric heavy chain is obtained. In this embodiment, the adhesin sequences are fused to the 3' end of an immunoglobulin heavy chain in each arm of an immunoglobulin, either between the hinge and the  $C_{H2}$  domain, or between the  $C_{H2}$  and  $C_{H3}$  domains. Similar constructs have been reported by Hoogenboom, *et al.*, *Mol. Immunol.* 28:1027-1037 (1991).

Although the presence of an immunoglobulin light chain is not required in the immunoadhesins of the present invention, an immunoglobulin light chain might be present either covalently associated to an adhesin-immunoglobulin heavy chain fusion

polypeptide, or directly fused to the adhesin. In the former case, DNA encoding an immunoglobulin light chain is typically coexpressed with the DNA encoding the adhesin-immunoglobulin heavy chain fusion protein. Upon secretion, the hybrid heavy chain and the light chain will be covalently associated to provide an immunoglobulin-like structure comprising two disulfide-linked immunoglobulin heavy chain-light chain pairs. Methods suitable for the preparation of such structures are, for example, disclosed in U.S. Patent No. 4,816,567, issued 28 March 1989.

Immunoadhesins are most conveniently constructed by fusing the cDNA sequence encoding the adhesin portion in-frame to an immunoglobulin cDNA sequence. However, fusion to genomic immunoglobulin fragments can also be used (see, *e.g.* Aruffo *et al.*, *Cell* 61:1303-1313 (1990); and Stamenkovic *et al.*, *Cell* 66:1133-1144 (1991)). The latter type of fusion requires the presence of Ig regulatory sequences for expression. cDNAs encoding IgG heavy-chain constant regions can be isolated based on published sequences from cDNA libraries derived from spleen or peripheral blood lymphocytes, by hybridization or by polymerase chain reaction (PCR) techniques. The cDNAs encoding the "adhesin" and the immunoglobulin parts of the immunoadhesin are inserted in tandem into a plasmid vector that directs efficient expression in the chosen host cells.

#### 4. Other C<sub>H</sub>2/C<sub>H</sub>3 region-containing proteins

In other embodiments, the protein to be purified is one which is fused to, or conjugated with, a C<sub>H</sub>2/C<sub>H</sub>3 region. Such fusion proteins may be produced so as to increase the serum half-life of the protein. Examples of biologically important proteins which can be conjugated this way include renin; a growth hormone, including human growth hormone and bovine growth hormone; growth hormone releasing factor; parathyroid hormone; thyroid stimulating hormone; lipoproteins; alpha-1-antitrypsin; insulin A-chain; insulin B-chain; proinsulin; follicle stimulating hormone; calcitonin; luteinizing hormone; glucagon; clotting factors such as factor VIIIc, factor IX, tissue factor, and von Willebrands factor; anti-clotting factors such as Protein C; atrial natriuretic factor; lung surfactant; a plasminogen activator, such as urokinase or human urine or tissue-type plasminogen activator (t-PA); bombesin; thrombin; hemopoietic growth factor; tumor necrosis factor-alpha and -beta; enkephalinase; RANTES (regulated on activation normally T-cell expressed and secreted); human macrophage inflammatory protein (MIP-1-alpha); a serum albumin such as human serum albumin; Muellerian-

inhibiting substance; relaxin A-chain; relaxin B-chain; prorelaxin; mouse gonadotropin-associated peptide; a microbial protein, such as beta-lactamase; DNase; IgE; a cytotoxic T-lymphocyte associated antigen (CTLA), such as CTLA-4; inhibin; activin; vascular endothelial growth factor (VEGF); receptors for hormones or growth factors; Protein A or D; rheumatoid factors; a neurotrophic factor such as bone-derived neurotrophic factor (BDNF), neurotrophin-3, -4, -5, or -6 (NT-3, NT-4, NT-5, or NT-6), or a nerve growth factor such as NGF- $\beta$ ; platelet-derived growth factor (PDGF); fibroblast growth factor such as aFGF and bFGF; epidermal growth factor (EGF); transforming growth factor (TGF) such as TGF-alpha and TGF-beta, including TGF- $\beta$ 1, TGF- $\beta$ 2, TGF- $\beta$ 3, TGF- $\beta$ 4, or TGF- $\beta$ 5; insulin-like growth factor-I and -II (IGF-I and IGF-II); des(1-3)-IGF-I (brain IGF-I), insulin-like growth factor binding proteins; CD proteins such as CD3, CD4, CD8, CD19, CD20, CD34, and CD40; erythropoietin; osteoinductive factors; immunotoxins; a bone morphogenetic protein (BMP); an interferon such as interferon-alpha, -beta, and -gamma; colony stimulating factors (CSFs), *e.g.*, M-CSF, GM-CSF, and G-CSF; interleukins (ILs), *e.g.*, IL-1 to IL-10; superoxide dismutase; T-cell receptors; surface membrane proteins; decay accelerating factor; viral antigen such as, for example, a portion of the AIDS envelope; transport proteins; homing receptors; addressins; regulatory proteins; integrins such as CD11a, CD11b, CD11c, CD18, an ICAM, VLA-4 and VCAM; a tumor associated antigen such as HER2, HER3 or HER4 receptor; and fragments of any of the above-listed polypeptides.

The following examples are offered by way of illustration and not by way of limitation. The disclosures of all citations in the specification are expressly incorporated herein by reference.

#### Examples

The examples are provided so as to provide those of ordinary skill in the art with a complete disclosure and description of how to make and use the compounds, compositions, and methods of the invention and are not intended to limit the scope of what the inventors regard as their invention. Efforts have been made to insure accuracy with respect to numbers used (*e.g.* amounts, temperature, etc.) but has some experimental errors and deviation should be accounted for. The disclosures of all citations in the specification are expressly incorporated herein by reference.



For ease of reading, a list of abbreviations frequently used throughout the examples is provided below:

	$C_f$	Concentration in the filtrate (g/l)
	$C_b$	Bulk concentration (or feed concentration) (g/l)
5	CHO	Chinese hamster ovary
	CHOP	Chinese hamster ovary cell protein(s)
	CV	Column volumes
	DF	Diafiltration
	HCCF	Harvested cell culture fluid
10	HCI	Hydrophobic charge induction chromatography
	HCP	Host cell protein(s)
	HIC	Hydrophobic interaction chromatography
	HPLC	High performance liquid chromatography
	HPTFF	High performance tangential flow filtration
15	J	Filtrate flux ( $\text{lm}^{-2}\text{h}^{-1}$ )
	$L_p$	Membrane permeability
	N	Number of diavolumes
	$P_{\text{HCP}}$	Purification factor based on HCP removal
	$P_{\text{CHOP}}$	Purification factor based on CHOP removal
20	pI	Isoelectric point
	rhuMAb	Recombinant humanized monoclonal antibody
	$S_i$	Sieving of solute "i"
	Y	Yield
	$\psi$	Selectivity

25

### EXAMPLE 1

#### Two steps of non-affinity purification

In the present example, the purification of anti-CD11a rhuMAb HCCF was performed with processes consisting of either two steps of non-affinity purification or three steps of non-affinity purification using different combinations of non-affinity purification matrices.

The purification performance of cation exchange (such as by using an S column), anion exchange (such as by using a Q column), mixed-mode ion exchange (such as by

using ABx), hydroxyapatite (HA), hydrophobic interaction (HIC) and hydrophobic charge induction (HCI) resins were examined in each step of the chromatographic purification process for the anti-CD11a rhuMAb protein. Total host cell protein (CHOP) impurity removal and protein yield was determined as described in detail in Example 2 and compared to traditional processes consisting of either two or three steps and incorporating Protein A chromatography (*i.e.* for two step processes, ProA followed by anion exchange (such as ProA-Q), and for three steps processes, ProA followed by cation exchange, then anion exchange, such as by ProA-S-Q).

SP-SEPHAROSE FAST FLOW™ resin (S, cation exchange resin, Amersham Biosciences, Piscataway, NJ), Q-Sepharose Fast Flow™ resin (Q, anion exchange resin, Amersham Biosciences, supra), Bakerbond ABx™ resin (ABx, mixed-mode ion exchange resin, J.T. Baker, Inc., Phillipsburg, NJ), PHENYL- SEPHAROSE FAST FLOW™ resin (HIC, hydrophobic interaction resin, Amersham Biosciences, supra), Macroprep Ceramic Hydroxyapatite resin (HA, hydroxyapatite resin, BioRad Laboratories, Hercules, CA), and MEP HYPERCEL™ resin (HCI, hydrophobic charge induction resin, INVITROGEN LIFE TECHNOLOGIES™, LifeTechnologies, Inc., Rockville, MD) were each packed into 0.66 cm e.d. x 20 cm Omni glass columns. The operating conditions for chromatography are presented in Table 1.

**Table 1. Chromatography Operating Conditions**

Resin	Resin Type	Mode of Operation	Buffers	Load Conditioning
SP Sepharose Fast Flow™ (Amersham Biosciences, Piscataway, NJ)	Cation exchange (S)	Non-specific bind and elute	20 mM MES, 50 mM NaCl, pH 5.5 10 CV gradient to 500 mM NaCl	< 5 mS/cm pH 5.5
Bakerbond ABx™ (J.T. Baker, Phillipsburg, NJ)	Mixed-mode ion exchange (ABx)	Non-specific bind and elute	Same as S	Same as S
Q Sepharose Fast Flow™ (Amersham Biosciences, NJ)	Anion exchange (Q)	Flow-through	25 mM Tris, 50 mM NaCl, pH 8	< 7 mS/cm pH 8
Phenyl Sepharose Fast Flow™, low sub (Amersham Biosciences, NJ)	Hydrophobic interaction (HIC)	Non-specific bind and elute	50 mM MES, 0.8 M Na <sub>2</sub> SO <sub>4</sub> , pH 6 15 CV gradient to 50 mM MES, pH 6	0.8 M Na <sub>2</sub> SO <sub>4</sub> pH 6

Macro-Prep ceramic hydroxyapatite, Type II (Bio-Rad, Hercules, CA)	Hydroxyapatite (HA)	Non-specific bind and elute	10 mM sodium phosphate, pH 6.8 10 CV gradient to 400 mM phosphate, pH 6.8	< 3 mS/cm pH 6.8
MEP HYPERCEL™ (Life Technologies, Rockville, MD)	Hydrophobic charge induction (HCl)	Non-specific bind and elute	25 mM Tris, 50 mM NaCl, 5 mM EDTA, pH 7.1, Step elute with 50 mM acetate, pH 4	pH > 7

All of the columns were loaded to 10 mg antibody per ml resin at a flow rate of 100 cm/h (5 column volumes per hour).

Between uses, S, HIC and HCl resins were sanitized with  $\geq 3$  column volumes of 0.5N NaOH. Columns containing ABx, Q, and HA resins were packed with fresh resin before each use.

CHO cells expressing anti-CD11a rhuMAb were cultured and a harvested cell culture formulation containing the antibody was collected. The crude cell culture mixture contained approximately 220,000 ppm CHOP (equivalent to 220,000 ng CHOP/mg anti-CD11a rhuMAb). An aliquot of the crude mixture was applied to each of the resins for Step 1 in Table 2. An aliquot of the eluate from Step 1 was then applied to each of the alternative resins in Step 2 of Table 2, and antibody and impurities were further separated. The buffer conditions for each step are summarized in Table 1. The crude mixture and each eluant pool from the first step were adjusted to the pH and ionic strength of the buffer conditions of the resin to which the crude mixture or eluate pool was applied for the subsequent purification step. A summary of purification results as measured by CHOP concentrations after each of two steps of non-affinity purification is

shown in Table 2.

**Table 2. CHOP removal over two steps of non-affinity purification**

Resin used in Step 1	CHOP (ppm; ng/mg antibody)	Alternative Resins used in Step 2	CHOP (ppm; ng/mg antibody)
Test processes:			
Q	23,000	Q	14,000
		HIC	3,000
		ABx	1,000
		S	900
		HCI	11,000
HIC	26,000	Q	9,900
		HIC	2,400
		ABx	400
		S	900
Abx	6,600	Q	3,100
		HIC	2,400
		ABx	1,700
		S	1,000
		HCI	2,800
S	14,000	Q	80
		HIC	600
		ABx	140
		S	2,100
Control process:			
ProA	300	S	30

Of the non-affinity steps examined, the ABx column removed the most CHOP impurities from the HCCF, resulting in a CHOP concentration of 6600 ppm. The purity of pools resulting from two steps of non-affinity purification, ranged from 80 ppm to 14,000 ppm CHOP. The purification with S purification as the first step and Q purification as the second step resulted in a low CHOP concentration of 80 ppm. However, when the steps were reversed such that Q purification was the first step and S purification was the second step; the purification yield was a CHOP concentration of 900 ppm. The step order of the non-affinity processes affected the purity results.

Further purification using three steps of non-affinity purification was evaluated and compared to a three step purification process involving one affinity step of Protein A chromatography, i.e. ProA-S-Q, as shown in Tables 3 and 4. As for the studies described above for a 2-step purification process, aliquots of a crude cell culture mixture containing 220,000 ppm CHOP were adjusted for pH and ionic strength according to Table 1 for the resin to which they were applied in Step 1 of Table 3, and similarly for Steps 2 and 3 of

Table 3. The results of CHOP removal using processes involving three steps of non-affinity purification are shown in Table 3. The yields of anti-CD11a rhuMAb from some of the three-step non-affinity purification processes are shown in Table 4.

**Table 3. CHOP removal over three steps of non-affinity purification**

Resin used in Step 1	[CHOP], ppm, after Step 1	Resin used in Step 2	[CHOP], ppm, after Step 2	Alternative Resins used in Step 3	[CHOP], ppm, after Step 3
<b>Test Processes:</b>					
<b>HIC</b>	26,000	ABx	400	ABx	13
				S	14
				HIC	20
				Q	22
<b>S</b>	14,000	Q	80	ABx	<2
				S	10
				HIC	<2
				Q	30
<b>S</b>	14,000	ABx	140	ABx	28
				S	50
				HIC	6
				Q	<2
<b>Control process:</b>					
<b>ProA</b>	730	S	160	Q	<2

5

**Table 4. Yields of anti-CD11a rhuMAb over three steps of non-affinity purification**

Process Steps			Overall Yield
Step 1	Step 2	Step 3	
<b>Test processes:</b>			
S 96%	Q 100%	ABx 79%	76%
S 96%	Q 100%	HIC 89%	85%
S 96%	ABx 92%	Q 100%	88%
<b>Control process:</b>			
ProA 97%	S 89%	Q 98%	85%

The combination of two or more steps of non-affinity purification resulted in a purity level, as determined by the elimination of CHOP impurities, of about, for example, 14,000 ppm of CHOP after the first non-affinity step, about 80 ppm of CHOP after the second non-affinity step and about 2ppm of CHOP after the third step of the process (S-Q-ABx or HIC) (see Table 2), and product purities of anti-CD11a antibody as shown in Table 4.

### Example 2

#### Combination of Non-affinity Chromatography and HPTFF Purification

The present example involves the purification of recombinant human monoclonal antibody, anti-HER2 rhuMAb, with a molecular weight of 160 kD and a pI of about 9.0 from chinese hamster ovary (CHO) cells. The anti-HER2 rhuMAb was obtained from an industrial scale CHO cell culture process at Genentech (South San Francisco, CA, USA). After CHO cell culture, the anti-HER2 rhuMAb molecule was partially clarified by centrifugation and normal cell filtration to remove cells and cell debris. The resulting pool consisted of 0.52 mg/ml of anti-HER2 rhuMAb product and 0.78 mg/ml of CHOP.

For purification of anti-HER2 rhuMAb, conditioned harvested cell culture fluid (HCCF) comprising an anti-HER2 rhuMAb product and Chinese Hamster Ovary host cell proteins (CHOP) from CHO cells expressing anti-HER2 rhuMAb was loaded onto an initial cation exchange chromatography column (S) (SP-SEPHAROSE FAST FLOW™ Resin, Amersham Biosciences) to remove host cell proteins or CHO proteins (CHOP), variants, and aggregates. Elutions from the S column were pooled (S pool) and subjected to a second anion exchange chromatography column (Q) (Q- SEPHAROSE FAST FLOW™ resin, Amersham Biosciences, Piscataway, NJ) to remove CHOP and target protein aggregates. The flow-through from the Q column (Q pool) was subdivided and each pool was further subjected to a third process of HPTFF for further removal of CHOP, variants and small molecules. Two of the Q pools were subjected to HPTFF Experiment 1 and HPTFF Experiment 2 as described in detail below.

#### A. Non-affinity chromatography

The chromatography columns were loaded to approximately 10 grams of rhuMAb/liter of resin for a total of about 40 grams of rhuMAb at a flow rate of 100 cm/h (5 column volumes (CVs) per hour).

## 1. Methods

For preparation of the non-affinity chromatography columns, bind-and-elute SP-Sepharose and flow-through Q-Sepharose were each packed into preparative scale columns. The operating conditions for each chromatography column are presented in Table 5.

**Table 5. Non-affinity Chromatography Operating Conditions**

Resin	Resin Type	Mode of Operation	Buffers	Load Conditioning
SP Sepharose Fast Flow™ (Amersham Biosciences, Piscataway, NJ)	Cation exchange (S)	Non-specific bind and elute	25 mM MES, 20 mM NaCl, pH 5.5 10 CV gradient to 500 mM NaCl	< 6 mS/cm pH 5.5
Q Sepharose Fast Flow™ (Amersham Biosciences, NJ)	Anion exchange (Q)	Flow-through	25 mM Tris, 50 mM NaCl, pH 8	< 8 mS/cm pH 8

The HCCF was conditioned by diluting the HCCF to a conductivity of less than 6 mS/cm with water and adjusting the HCCF to a pH of 5.5 with HCl and filtered through a 0.22  $\mu$ m filter. A volume of 66 liters of conditioned HCCF was subjected to non-affinity chromatography.

The SP-Sepharose column was equilibrated with 5 column volumes (CVs) of the column buffer (Table 5). The 66 liters of conditioned HCCF (< 10 g/l) were loaded onto the equilibrated SP-Sepharose column. After loading the conditioned HCCF onto the SP-Sepharose column, the column was washed with 5 CVs of column buffer. Elutions were made with elution buffer (25 mM MES, 500 mM NaCl, pH 5.5) with eluant collected at an absorbance of from 0.1-0.2 AU at 280nm. The chromatography resin was regenerated in a 0.5 M NaOH solution and further stored in 0.1 M NaOH.

The collections from the SP-Sepharose column were pooled (SP pool) and conditioned by diluting the S pool to a conductivity of approximately 7.5 to 8 mS/cm with water and adjusted to a pH of 8 with NaOH. The conditioned S pool was then filtered through a 0.22  $\mu$ m filter. The filtered SP pool (about 9 liters) was loaded onto a Q-Sepharose column that was equilibrated with 5 CVs of the column buffer (see Table 5). The flow-through was collected at 0.2-0.2 AU at 280nm and the flow-through was pooled (Q pool). A total of 20.6 liters of the Q pool was collected.

The Q-Sepharose chromatography resin was regenerated in a 0.5 M NaOH solution and further stored in 0.1 M NaOH. The 20.6 liters recovered from the Q column was divided into 3 identical pools, each having a volume of 6.9 liters and a concentration of about 1.4 g/L of anti-HER2 rhuMAb, prior to HPTFF purification.

## 2. Analysis

The amount of anti-HER2 rhuMAb in each pool following a purification step of the process, i.e. in the HCCF and in the pools from the purification process, was determined by an HPLC analysis based on Protein-A immunoaffinity. The HPLC column was a Poros Protein A, 4.6 mm i.d. x 100 mm bed height (PerSeptive Biosystems). Samples and standards were applied to the column in a loading buffer, the rhuMAb analyte bound to the column, then was eluted under acidic conditions. The peak area of the eluted material was compared to the peak area of a standard curve to calculate the amount of rhuMAb. The assay range was typically 0.05 mg/mL to 1.0 mg/mL.

Upon completion of the S and Q chromatography, samples from pools were subjected to SDS-PAGE analysis (FIGURE 2, lanes 4 and 5, respectively). Samples were analyzed by sodium dodecyl sulfate polyacrylamide gel electrophoresis (SDS-PAGE), which separated proteins according to size (relative hydrodynamic radius). Samples and the molecular weight standard (ranging from 10 to 200 kDa) were prepared under non-reducing conditions and loaded onto a gel at approximately 2.5 µg/lane. A 10% to 20% acrylamide gradient gel, 8 cm x 8 cm size, was used herein (Zaxis International, Inc., Hudson, OH) and was electrophoresed at a constant voltage of 170 mV. Following the electrophoresis, the proteins were stained to be rendered visible. The electrophoresed gel was then treated by silver staining according to the method described by Morrissey (Morrissey, J., Analytical Biochemistry, 1981, 117: 307-310). The results are shown in Figure 2.

To determine the amount of anti-HER2 rhuMAb present in each pool as the intact monomer, the mixtures were subjected to Size Exclusion Chromatography (SEC) according to the following procedure. Briefly, a Superdex 200 HR 10/30 column (Amersham Biosciences, Piscataway, NJ) was equilibrated in phosphate buffer saline. Approximately 100 µg of rhuMAb per sample was applied to the column. The sample was eluted from the column based on the molecular size of the protein molecules contained in the sample (optimal separation range: 10 to 600 kDa). The absorbance of



the column eluate was measured at 280 nm and the protein elution peaks were integrated to determine the percent area of monomeric rhuMAb. The percentages of intact monomer anti-HER2 rhuMAb in the HCCF, S pool, Q pool, and HPTFF pool are shown in Table 8.

CHOP concentration was determined by an enzyme-linked immunosorbent (ELISA) assay using goat anti-(host-cell protein) antibodies to quantify CHOP. Affinity-purified goat whole anti-CHOP antibodies were immobilized on microtiter plate wells. Dilutions of the pool samples, containing CHOP, were incubated in the wells, followed by incubation with conjugated-peroxidase whole anti-CHOP. The horseradish peroxidase was then quantified with *o*-phenylenediamine by reading the absorbance at 492 nm. Based on the principle of sandwich ELISA, the concentration of peroxidase corresponded to the CHOP concentration. The assay range for the ELISA was typically 5 ng/mL to 320 ng/mL. Depending on the concentration of the samples, 2 to 4 dilutions per sample were assayed and the dilution-corrected results were averaged.

#### B. HPTFF

High Performance Tangential Flow Filtration (HPTFF) is a two-dimensional filtration operation that involves separation of solutes with less than a 10-fold size difference based on both size and charge. As mentioned above, the Q pool was divided into three equivalent Q pools, each with a volume of 6.9L and a concentration of about 1.4 g/L of anti-HER2 rhuMAb, prior to further purification by HPTFF. Two of the Q pools were subjected to HPTFF Experiment 1, each involving different conditions. Upon determination of the optimum conditions for HPTFF from the HPTFF Experiment 1 studies, combined pools from HPTFF Experiments 1 and 2 were subjected to additional HPTFF as described in detail below.

##### 1. Membrane

Filtration membranes used for HPTFF in these Examples are Composite Regenerated Cellulose (CRC)-Millipore ULTRACEL™ (Millipore) with a nominal molecular weight cut-off of 300 kD (PLCMK). CRC300 mini-PELLICON2® membranes (Millipore) was charge-modified as described herein, resulting in the charged cellulose membrane CRC300+ used in the HPTFF studies of this Example. Briefly, a 300 kD PELLICON-2® cassette (membrane area of 0.1m<sup>2</sup>) was used for the scale-down experiment (minimum of 1L solution). The membrane was cleaned according to a cartridge preparation protocol before the first use to remove any residual storage and

shipping solution and to equilibrate the membrane to the appropriate buffer condition. The membrane was chemically modified *in situ* using bromo-propyl-trimethyl-ammonium bromide (Sigma-Aldrich, St Louis, MO) under alkaline conditions (PCT/US00/19964, the entire contents of which is hereby incorporated by reference).

5 Specifically, the membrane was charged without co-current filtrate flow, at constant filtrate flux of  $100 \text{ lm}^{-2}\text{h}^{-1}$ , retentate pressure fixed at 10psig, total recycle with filtrate open mode with 1L of ligand dissolved in 0.1N NaOH and  $0.2 \text{ }\mu\text{m}$  filtered. The  $L_p$  before charging was about  $53 \text{ lm}^{-2}\text{h}^{-1}/\text{psi}$  in 0.1N NaOH, and the  $L_p$  after charging was about  $37 \text{ lm}^{-2}\text{h}^{-1}/\text{psi}$  in 0.1N NaOH. After charging, the resulting positively charged  
10 membrane was cleaned using 0.1N sodium hydroxide, sanitized with 300ppm of MINNCARE™ solution, and stored in 0.1N sodium hydroxide. Before each HPTFF experiment, the membrane was flushed with the first diafiltration buffer of the experiment to remove storage solution and was tested for integrity. Membrane permeability was measured using the HPTFF system with co-current filtrate flow at a  
15 minimum of three filtrate fluxes.

## 2. HPTFF filtration system

HPTFF experiments were performed using a fully automated tangential flow filtration system with the basic configuration illustrated in Figure 1. The HPTFF system included a 40-liter stainless steel recycle tank, feed and filtrate flow meters (Admag  
20 Model 102 and 105, Johnson Yokogawa Corp., Newman, GA) and pressure transducers (Model MSP220-A2, 0-100 psig = 0-7 bar, Anderson Instruments, Fultonville, NY). The feed and co-current filtrate flow pumps were positive displacement pumps (Universal 6, Waukesha-Cherry Burrell, Delavan, WI) while the diafiltration and filtrate pumps were peristaltic pumps (Model L-7518-62, Cole Parmer, Niles, IL). The recycle tank included  
25 a temperature probe (Model RIX, -29°C to 82°C, Moore Industries, Sepulveda, CA). All piping was constructed of 316L stainless steel. The retentate pressure control valve was actuated using a steel diaphragm (Model ½ Mikroseal packless control valve, H.D. Baumann, Portsmouth, NH), while all other valves were pneumatically actuated with ethylene propylene diene monomer diaphragms (Biotek Model 8836-18-BH, ITT  
30 Sherotec, Simi Valley, CA). Continuous tank liquid level was measured with a magneto restrictive probe (Model Tempsonics II, MTS, Research Triangle Park, NC). Data acquisition and control were performed using proprietary software (Genentech, Inc.,

South San Francisco, CA) using a MycroAdvantage software shell (Moore Products, Springhouse, PA).

HPTFF was conducted at a fixed feed flow rate of  $323 \text{ l.m}^{-2}.\text{h}^{-1}$  (volumetric feed flow rate divided by the membrane area) and a retentate pressure of 10psi. The co-  
current filtrate flow rate was controlled to reach equal transmembrane pressure at the  
inlet (feed) and outlet (retentate) of the membrane cassette. The filtrate flux was set at  $50 \text{ l.m}^{-2}.\text{h}^{-1}$  by adjusting the filtrate pump rate. The start-up of HPTFF experiments included a ramp-up of all flow rates in order to minimize the difference between transmembrane pressure at the inlet and outlet of the cassette. The retentate was recycled to the feed tank, while the filtrate was directed to a collection vessel. Feed and filtrate samples were collected in both cases for product and HCP analysis.

a. HPTFF Experiment 1

After the division of the Q pool, as described above, into equivalent 6.9L Q pools, one of the Q pools was subjected to HPTFF Experiment 1 using a CRC300+ membrane under the following conditions.

The charged membranes were equilibrated in the first diafiltration buffer for this experiment (see Table 7). The Q pool was diluted to lower the ionic strength and conductivity of the rhuMAb pool to  $2.7 \text{ mS/cm}$ , adjusted to a pH of 4.5 and then added to the feed tank (Figure 1). The material in the feed tank was subjected to concentration by removal of a portion of the solution. When the bulk volume reached a bulk concentration ( $C_b$ ) of  $10 \text{ g/L}$ , the solution in the feed tank was subjected to sequential diafiltration steps. With a constant conductivity of  $1.5 \text{ mS/cm}$ , diafiltration was performed with 10 diavolumes at a pH of 4.5 and 5 diavolumes each of pH 5.0, pH 5.5, pH 6.0, and pH 6.5 (Table 7). The yield was calculated based on the quantifiable product sieving during diafiltration using the following equation:  $Y = e^{-NSr_{\text{arg et protein}}}$  where S is the sieving of the target protein and N the number of diavolumes.

**Table 7: Experimental conditions and results from HPTFF step of purification**

	Concentration $C_b$ (g/L)	Diafiltration pH - N	Yield (%)	[CHOP] <sub>final</sub> ppm
Q pool				410
		4.5 - 10		
		5.0 - 5		
HPTFF Experiment 1	10	5.5 - 5	99%	21
		6.0 - 5		
		6.5 - 5		
		4.5 - 10		
HPTFF Experiment 2	10	5.5 - 10	99%	17
		6.5 - 10		
Additional HPTFF	10	6.5 - 40	99%	2.2
(Combined pools from HPTFF 1 & 2)		6.0 - 5		

The product quality of the recovered pool from the HPTFF Experiment 1 was subjected to analysis, including SDS-PAGE gel electrophoresis (Figure 2, lanes 10), rhuMAb % intact monomer analysis and CHOP concentration analysis (Table 8), as described above.

Significant sieving of CHOP was observed with CRC300+ without any significant loss of anti-HER2 rhuMAb. The final concentration of CHOP in the recovered pool from HPTFF performed with CRC300+ was 21 ppm.

#### b. HPTFF Experiment 2

Another of the Q pools, as described above, having 1.4 mg/ml of rhuMAb, 410 ppm of CHOP, a pH of 5.6 and a conductivity of about 8 mS/cm, was subjected to a HPTFF Experiment 2.

As described above, the CRC300+ membrane was equilibrated in the first diafiltration buffer for this HPTFF Experiment 2 (see Table 7). The Q pool was diluted with water to lower the conductivity to 2.4 mS/cm. The pH was adjusted to pH 4.5 with HCl. The resulting conditioned pool was loaded onto the feed tank. In a single operation, the conditioned pool was then concentrated to 10 g/L at pH 4.5, followed by a constant retentate volume diafiltration comprising a specific sequence of diafiltration buffers (Table 7). Three sequences of diafiltration buffers were selected as follows: 10

diavolumes each at a pH of 4.5, 5.5, and 6.5, at a constant conductivity of 1.5 mS/cm. All HPTFF experiments were conducted at a filtrate flux of  $50 \text{ l.m}^{-2}.\text{h}^{-1}$  using a positively charged Pellicon-2® mini cassette with a permeability of  $36 \text{ l.m}^{-2}.\text{h}^{-1}/\text{psi}$ .

Upon completion of the HPTFF process performed with CRC300+, a sample of the recovered pool was subjected to SDS-PAGE analysis (Figure 2, lane 11). The product quality of the recovered pool from the HPTFF Experiment 2 was subjected to additional analysis, including Size Exclusion Chromatography (SEC) and CHOP concentration analysis (Table 8).

The purification factors for the HPTFF step was greater than 24 (i.e. 24-fold removal of CHOP) and CHOP removal occurred during both concentration and diafiltration. The CHOP concentration was reduced from 410 ppm (concentration in the material recovered from the Q chromatography column) to 17 ppm (concentration in the material recovered from the HPTFF Experiment 2) (see Table 8). No significant filtrate losses were observed.

**Table 8: CHO host cell protein quantification and purity analysis of anti-HER2 rhuMAb feedstream in purification processes**

Purification Step	[CHOP] (ppm)	% intact rhuMAb monomer (measured by SEC)
HCCF	1,469,000	--
S pool	144,780	95.9%
Q pool	410	97.4%
HPTFF Experiments 1, 2	21, 17	99.8%
Control Process: (using steps:ProA-S-Q-UFDF)	<1	100%

#### c. Additional HPTFF

Material recovered after the HPTFF Experiments 1 and 2 was combined and further subjected to additional HPTFF as follows.

As described above, the CRC300+ membrane was equilibrated in the first diafiltration buffer and the combined material was loaded onto the feed tank. The material in the feed tank was subjected to optimal sequential diafiltration steps as follows: 40 diavolumes at pH 6.5 and 1.5 mS/cm, followed by 5 diavolumes at pH 6.0 and 0.3 mS/cm.

The additional HPTFF process reduced the concentration of CHOP in the final retentate to 2.2 ppm. A sample of the recovered material after the additional was subjected to SDS-PAGE analysis. The product quality of the material recovered after additional HPTFF (Figure 2, lanes 6 and 12) as determined by SDS-PAGE analysis was compared to the product quality of material obtained through a conventional purification process involving ProA, SP, Q and UFDF (Figure 2, lane 7).

The purification process, involving two steps of non-affinity purification and a third step of HPTFF, resulted in a purity level, as determined by the elimination of CHOP impurities, of about 144,780 ppm of CHOP after S purification, of about 410 ppm CHOP after Q purification, and a final purity of about 17-21 ppm of CHOP. Further purity of about 2.2 ppm of CHOP was achieved by additional HPTFF, which further purification is alternatively incorporated into the third step, thereby providing a three-step non-affinity process comparable to traditional methods using costly affinity chromatography.

### Example 3

#### Combination of Non-affinity Chromatography and HPTFF Purification

The present example involves the purification of recombinant human monoclonal antibody, anti-CD40 rhuMAb, with a molecular weight of 160 kD and a pI of about 9.3 from chinese hamster ovary (CHO) cells. The anti-CD40 rhuMAb was obtained from an industrial scale CHO cell culture process at Genentech (South San Francisco, CA, USA). After CHO cell culture, the anti-CD40 rhuMAb molecule was partially clarified by centrifugation and normal cell filtration to remove cells and cell debris. The resulting pool consisted of 1.7 mg/ml of anti-CD40 rhuMAb product and approximately 0.4 mg/ml of CHOP.

For purification of anti-CD40 rhuMAb, conditioned harvested cell culture fluid (HCCF) comprising an anti-CD40 rhuMAb product and Chinese Hamster Ovary host cell proteins (CHOP) from CHO cells expressing anti-CD40 rhuMAb was loaded onto an initial cation exchange chromatography column (S) (SP-SEPHAROSE FAST FLOW™ Resin, Amersham Biosciences) to remove host cell proteins or CHO proteins (CHOP), variants, DNA impurities and aggregates. Elutions from the S column were pooled (S pool) and subjected to a second anion exchange chromatography column (Q) (Q-SEPHAROSE FAST FLOW™ resin, Amersham Biosciences, Piscataway, NJ) to remove CHOP, DNA impurities and target protein aggregates. The flow-through from

the Q column (Q pool) was further subjected to a third process of HPTFF for further removal of CHOP, variants and small molecules.

#### A. Non-affinity chromatography

##### 1. Methods

For preparation of the non-affinity chromatography columns, bind-and-elute SP-Sepharose and flow-through Q-Sepharose were each packed into preparative scale columns. The operating conditions for each chromatography column are presented in Table 9.

**Table 9. Non-affinity Chromatography Operating Conditions**

Resin	Resin Type	Mode of Operation	Column Buffers	Load Conditioning
SP Sepharose Fast Flow™ (Amersham Biosciences, Piscataway, NJ)	Cation exchange (S)	Non-specific bind and elute	20 mM MES, 50 mM NaAcetate, pH 6.5	< 7.0 mS/cm pH 6.5
Q Sepharose Fast Flow™ (Amersham Biosciences, NJ)	Anion exchange (Q)	Flow-through	25 mM Tris, 50 mM NaCl, pH 8	< 8 mS/cm pH 8

The HCCF was conditioned by diluting the HCCF to a conductivity of less than 7 mS/cm with water and adjusting the HCCF to a pH of 6.5 with acetic acid and filtered through a 0.22  $\mu$ m filter. The SP-Sepharose column was equilibrated with 4 column volumes (CVs) of the column buffer (Table 9) and loaded to approximately 30 grams of rhuMAb/liter of resin for a total of about 13 grams of rhuMAb at a flow rate of 150 cm/h. After loading the conditioned HCCF onto the SP-Sepharose column, the column was washed with 5 CVs of wash buffer (20 mM HEPES, 35 mM NaAcetate, pH 8.0) followed by 3 CVs of column buffer (Table 9). Elutions were made with a 10 CV gradient elution from the column buffer to the elution buffer 20 mM MES, 140 mM NaAcetate, pH 6.5, with the eluant collected at an absorbance of from 0.1 to 0.5 AU at 280nm. The chromatography resin was regenerated in a 0.5 M NaOH solution and further stored in 0.1 M NaOH.

The SP-Sepharose pool (SP pool) was conditioned by diluting the S pool to a conductivity of approximately 7.5 mS/cm with water and adjusted to a pH of 8 with NaOH. The conditioned S pool, having a total mass of about 9 grams, was then filtered through a 0.22  $\mu$ m filter. The filtered conditioned SP pool was loaded onto a Q-

Sepharose column that was equilibrated with 5 CVs of the column buffer (see Table 9). The flow-through was collected at 0.2-0.2 AU at 280nm and the flow-through was pooled (Q pool). The Q-Sepharose chromatography resin was regenerated in a 0.5 M NaOH solution and further stored in 0.1 M NaOH.

## 2. Analysis

The amount of anti-CD40 rhuMAb in each pool following a purification step of the process, i.e. in the HCCF and in the pools from the purification process, was determined by an HPLC analysis based on Protein-A immunoaffinity as described in the Example 2 herein. CHOP concentration was determined using the enzyme-linked immunosorbent (ELISA) assay described in the Example 2 herein. Upon completion of the S and Q chromatography, samples from pools were subjected to SDS-PAGE analysis (Figure 5, lanes 3 and 4, respectively). The Q pool was diluted to lower the ionic strength and conductivity of the rhuMAb pool to 1.8 mS/cm, adjusted to a pH of 4.5 and then added to the recycle tank (Figure 1). The HPTFF experiment purification step using a positively charged CRC300+ membrane (the HPTFF experiment) was begun by first concentrating the material from the Q pool until the bulk volume reached a bulk concentration ( $C_b$ ) of 10g/L. The resultant solution in the recycle tank was then subjected to sequential diafiltration steps. With a constant conductivity of 1.5 mS/cm, diafiltration was performed with 5 diavolumes each at a pH 4.5 and pH 5.5, followed by 20 diavolumes at pH 6.5, followed by 10 diavolumes at pH 7.0 (Table 10). The yield was calculated based on the quantifiable product sieving during diafiltration using the following equation:  $Y = e^{-NST \arg et protein}$  where S is the sieving of the target protein and N the number of diavolumes.

**Table 10: Experimental conditions and results from HPTFF step of purification**

	Concentration $C_b$ (g/L)	Diafiltration pH - N	Yield (%)	[CHOP] (ppm)	[DNA] (ppm)
Q pool			96%	15	15
		4.5 - 5			
HPTFF pool	10	5.5 - 5	99%	<0.6	<0.6
		6.5 - 20			
		7.0 - 10			



The product quality of the recovered pool from this HPTFF experiment was subjected to analysis including SDS-PAGE gel electrophoresis (Figure 5, lane 5), rhuMAb % intact monomer analysis, and CHOP concentration analysis (Table 11), as described in Example 2, herein. DNA concentration was evaluated according to the THRESHOLD<sup>®</sup> Total DNA Assay (Molecular Devices, Corp., Sunnyvale, CA) (Table 11). The THRESHOLD<sup>®</sup> Total DNA Assay is specific for single-stranded DNA, which is obtained from the sample via denaturation by heat. The single-stranded DNA is labeled with binding proteins, which are covalently bound to urease and streptavidin, and form a DNA complex. The DNA complex is filtered through a biotin coated nitrocellulose membrane known as a "stick." The biotin on the membrane reacts with streptavidin in the DNA complex, capturing the complex. The stick is placed in the Threshold Reader, which contains the substrate, urea. The enzymatic reaction between urea and urease (in the DNA complex) changes the local pH of the substrate solution. A silicon sensor records a change in surface potential, which is proportional to the pH change. The rate of change in surface potential is proportional to the amount of DNA. Quantification of samples is determined by comparison to DNA standards. Samples were diluted so that the DNA content falls within the reporting range of the standard curve (10-400 pg/mL).

Significant sieving of CHOP was observed with positively charged CRC300+ HPTFF membrane without any significant loss of positively charged anti-CD40 rhuMAb. CHOP removal occurred during both concentration and diafiltration. The CHOP concentration was reduced from 15 ppm (concentration in the material recovered from the Q chromatography column) to less than 0.6 ppm within the first 20 diavolumes (concentration in the protein pool in the recycle tank). The removal of CHOP impurities was confirmed by measuring the concentration in the material recovered from the HPTFF experiment (see Table 11). No significant filtrate losses were observed.

**Table 11: CHO host cell protein quantification and purity analysis of anti-CD40 rhuMAb feedstream in purification processes**

Purification Step	[CHOP] (ppm)	% intact rhuMAb monomer (measured by SEC)	[DNA] (ppm)
HCCF	240,000	--	>5441
S pool	530	--	0.1
Q pool	15	--	<0.01
HPTFF pool	<0.6	99.5%	<0.006
Control Process: (using steps:ProA-S-Q- UFDF)	3	99.5%	<0.003

The purification process, involving two steps of non-affinity purification and a third step of HPTFF, resulted in a purity level, as determined by (1) the elimination of CHOP impurities, of about 530 ppm of CHOP after S purification, of about 15 ppm CHOP after Q purification, and a final purity of about less than 0.6 ppm of CHOP within 20 diavolumes, and by (2) the elimination of DNA impurities, of about 0.1 ppm of CHOP after S purification, of about less than 0.01 ppm DNA after Q purification, and a final purity of about less than 0.006 ppm of DNA. In addition, the electrophoresis analysis illustrated the comparable purity of the non-affinity final pool (FIGURE 5, lane 5) to that a conventional pool obtained using an affinity step (FIGURE 5, lane 10).

Figure 5 shows a silver-stained SDS-PAGE gel containing samples that were taken at different points during the purification of anti-CD40 recombinant human monoclonal antibody (rhuMAb) according to Example 3 (lanes 2-5) and compared to a conventional purification process including an affinity purification step (lanes 8-10). The arrows indicating 160 kD, 50 kD, and 25 kD point to the full length antibody, the heavy chain, and the light chain, respectively. Other bands are anti-CD40 rhuMAb fragments. Lane 1 is a mixture of protein standards. Lanes 2-6 are samples taken after performance of the non-affinity process disclosed in Example 3 herein in which host cell culture fluid (HCCF) (lane 2) was purified by cation exchange chromatography (S pool, lane 3), followed by an anion exchange chromatography (Q pool, lane 4), followed by HPTFF using a charged membrane (HPTFF pool, lane 5), followed by and compared to material recovered after rinsing the HPTFF membrane and the feed side of the HPTFF apparatus (HPTFF buffer flush pool, lane 6). Lane 7 is blank. Lanes 8-10 correspond to

anti-CD40 in an HCCF mixture purified by a conventional recovery process including a protein A affinity chromatography step (not shown), followed by a cation exchange chromatography step (lane 8), followed by an anion exchange chromatography (lane 9), and followed by an ultrafiltration step (lane 10).

5           This purification scheme provided a three-step non-affinity process comparable to traditional methods using costly affinity chromatography.

          The foregoing written specification is considered to be sufficient to enable one skilled in the art to practice the invention. The present invention is not to be limited in scope by the examples presented herein, since the exemplified embodiments are intended  
10 as illustrations of certain aspects of the invention and any functionally equivalent embodiments are within the scope of this invention. The examples presented herein are not intended as limiting the scope of the claims to the specific illustrations. Indeed, various modifications of the invention, in addition to those shown and described herein and which fall within the scope of the appended claims, may become apparent to those  
15 skilled in the art from the foregoing description.

## WHAT IS CLAIMED IS:

1. A method for purifying a target protein from a mixture containing a host cell protein, comprising subjecting said mixture to:

(a) a non-affinity purification step, followed by

(b) high-performance tangential-flow filtration (HPTFF),

and

(c) isolating said protein in a purity containing less than 100 parts per million (ppm) of said host cell protein, wherein said method includes no affinity purification step.

2. A method for purifying a target protein from a mixture containing a host cell protein, comprising subjecting said mixture to:

(a) a first non-affinity purification step, and

(b) a second non-affinity purification step, followed by

(c) high-performance tangential-flow filtration (HPTFF),

and

(d) isolating said protein in a purity containing less than 100 parts per million (ppm) of said host cell protein, wherein said method includes no affinity purification step.

3. The method of claim 2 wherein said first and second non-affinity purification steps are different and are selected from the group consisting of ion exchange chromatography and hydrophobic interaction chromatography.

4. The method of claim 3 wherein said ion exchange chromatography is selected from the group consisting of cation exchange chromatography, anion exchange chromatography and mixed mode ion exchange chromatography.

5. The method of claim 4 wherein said first and second affinity purification steps are cation exchange chromatography and anion exchange chromatography, in either order.

6. The method of claim 4 wherein said first non-affinity purification step is cation exchange chromatography and said second non-affinity purification step is anion exchange chromatography.

7. The method of claim 6 wherein said method consists of purification steps (a) - (c) followed by isolation step (d).

8. The method of claim 6 wherein said cation exchange chromatography step is performed on a cation exchange ligand selected from the group consisting of carboxymethyl, BAKERBOND ABX,<sup>TM</sup> sulphopropyl (SP), and sulphonyl.

9. The method of claim 6 wherein said cation exchange chromatography step is performed on a cation exchange resin selected from the group consisting of carboxymethyl-cellulose, BAKERBOND ABX,<sup>TM</sup> sulphopropyl immobilized on agarose, and sulphonyl immobilized on agarose.

10. The method of claim 6 wherein said anion exchange ligand is selected from the group consisting of DEAE and quaternary ammonium ions.

11. The method of claim 6 wherein said anion exchange resin is selected from the group consisting of DEAE cellulose, QAE SEPHADEX,<sup>TM</sup> and FAST SEPHAROSE.<sup>TM</sup>

12. The method of claim 1 or 2 wherein the HPTFF is performed using a charged membrane.

13. The method of claim 1 or 2 wherein said host cell protein is Chinese Hamster Ovary Protein (CHOP).

14. The method of claim 1 or 2 wherein said target protein is an antibody.

15. The method of claim 14 wherein said antibody is a monoclonal antibody.

16. The method of claim 14 wherein said antibody is a polyclonal antibody.

17. The method of claim 14 wherein said antibody is a humanized antibody.

18. The method of claim 14 wherein said antibody is a human antibody.

19. The method of claim 14 wherein said antibody is an antibody fragment.

20. The method of claim 14 wherein said antibody fragment is selected from the group consisting of Fab, Fab', F(ab')<sub>2</sub> and Fv fragments, single-chain antibody molecules, diabodies, linear antibodies, bispecific antibodies and multispecific antibodies formed from antibody fragments.

21. The method of claim 14 wherein said antibody specifically binds to an antigen selected from the group consisting of CD3, CD4, CD8, CD19, CD20, CD34, CD40, EGF receptor, HER2, HER3, HER4 receptor, LFA-1, Mac1, p150,95, VLA-4, ICAM-1, VCAM, av/b3 integrin, CD11a, CD18, CD11b, VEGF, IgE, flk2/flt3 receptor, obesity (OB) receptor, *mpl* receptor, CTLA-4, and polypeptide C.

22. The method of claim 14 wherein said antibody is selected from the group consisting of anti-HER2; anti-CD20; anti-IL-8; anti-VEGF; anti-PSCA; anti-CD11a; anti-IgE; anti-Apo-2 receptor; anti-TNF- $\alpha$ ; anti-Tissue Factor (TF); anti-CD3; anti-

CD25; anti-CD34; anti-CD40; anti-tac; anti-CD4; anti-CD52; anti-Fc receptor; anti-carcinoembryonic antigen (CEA) antibodies; antibodies directed against breast epithelial cells; antibodies that bind to colon carcinoma cells; anti-CD33; anti-CD22; anti-EpCAM; anti-GpIIb/IIIa; anti-RSV; anti-CMV; anti-HIV; anti-hepatitis; anti- $\alpha v \beta 3$ ; anti-human renal cell carcinoma; anti-human 17-1A; anti-human colorectal tumor; anti-human melanoma; anti-human squamous-cell carcinoma; and anti-human leukocyte antigen (HLA) antibodies.

23. The method of claim 14 wherein said antibody is selected from the group consisting of anti-HER2 receptor, anti-VEGF, anti-IgE, anti-CD20, anti-CD11a, and anti-CD40 antibodies.

24. The method of claim 1 or 2 wherein the target protein is an immunoadhesin.

25. The method of claim 1 or 2 wherein the target protein is an antibody-like molecule.

26. The method of claim 25 wherein said antibody-like molecule is a protein fused to, or conjugated with, a  $C_H2/C_H3$  region.

27. The method of claim 26 wherein said protein is selected from the group consisting of renin; growth hormones; growth hormone releasing factor; parathyroid hormone; thyroid stimulating hormone; lipoproteins; alpha-1-antitrypsin; insulin A-chain; insulin B-chain; proinsulin; follicle stimulating hormone; calcitonin; luteinizing hormone; glucagon; factor VIIIc; factor IX; tissue factor; von Willebrands factor; Protein C; atrial natriuretic factor; lung surfactant; urokinase; human urine and tissue-type plasminogen activator (t-PA); bombesin; thrombin; hemopoietic growth factor; tumor necrosis factor-alpha and -beta; enkephalinase; RANTES; human macrophage inflammatory protein (MIP-1-alpha); serum albumins; Muellerian-inhibiting substance; relaxin A-chain; relaxin B-chain; prorelaxin; mouse gonadotropin-associated peptide; beta-lactamase; DNase; IgE; cytotoxic T-lymphocyte associated antigens (CTLAs); inhibin; activin; vascular endothelial growth factor (VEGF); receptors for hormones or growth factors; Protein A or D; rheumatoid factors; bone-derived neurotrophic factor (BDNF); neurotrophin-3, -4, -5, and -6 (NT-3, NT-4, NT-5, and NT-6), nerve growth factors; platelet-derived growth factor (PDGF); fibroblast growth factors; epidermal growth factor (EGF); transforming growth factors (TGF); insulin-like growth factor-I and -II (IGF-I and IGF-II); des(1-3)-IGF-I (brain IGF-I), insulin-like growth factor binding proteins (IGFBPs); CD proteins; erythropoietin; osteoinductive factors;

immunotoxins; bone morphogenetic proteins (BMPs); interferons-alpha, -beta, and -gamma; colony stimulating factors (CSFs); interleukins IL-1 to IL-10; superoxide dismutase; T-cell receptors; surface membrane proteins; decay accelerating factor; viral antigens; transport proteins; homing receptors; addressins; regulatory proteins; integrins; tumor associated antigens; and fragments and thereof.

28. The method of claim 1 or 2, further comprising the step of incorporating the isolated protein into a pharmaceutical formulation.

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FIGURE 1

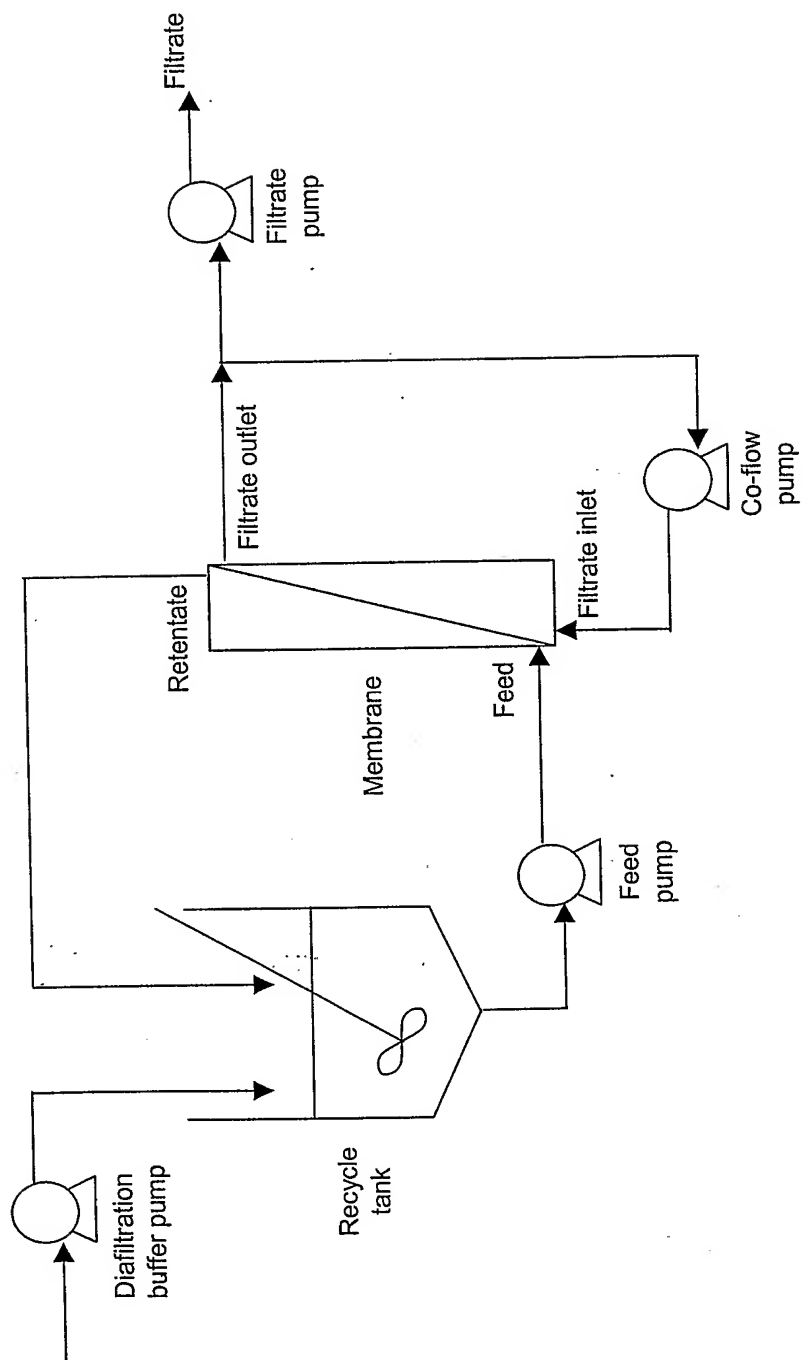
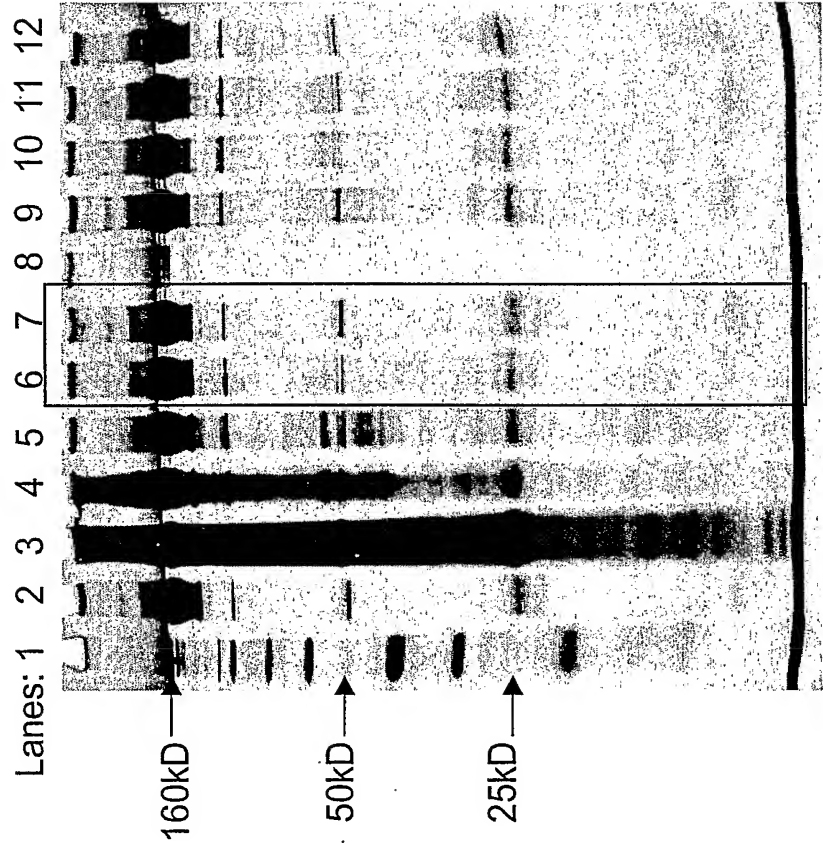




FIGURE 2



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### FIGURE 3

Full length anti-HER2 rhuMAB light chain and heavy chain amino acid sequences:

#### Figure 3A anti-HER2 rhuMAB Light chain:

Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val Gly Asp Arg Val Thr Ile Thr Cys Arg Ala Ser Gln Asp Val Asn Thr Ala Val Ala Trp Tyr Gln  
Gln Lys Pro Gly Lys Ala Pro Lys Leu Ile Tyr Ser Ala Ser Phe Leu Tyr Ser Gly Val Pro Ser Arg Phe Ser Gly Ser Arg Ser Gly Thr Asp Phe Thr Leu Thr  
Ile Ser Ser Leu Gln Pro Gln Asp Phe Ala Thr Tyr Tyr Cys Gln Gln His Tyr Thr Thr Pro Thr Phe Gly Gln Gly Thr Lys Val Glu Ile Lys Arg Thr Val Ala  
Ala Pro Ser Val Phe Ile Phe Pro Pro Ser Asp Glu Gln Leu Lys Ser Gly Thr Ala Ser Val Val Cys Leu Leu Asn Asn Phe Tyr Pro Arg Glu Ala Lys Val Gln Trp  
Lys Val Asp Asn Ala Leu Gln Ser Gly Asn Ser Gln Gln Ser Val Thr Gln Asp Ser Lys Asp Ser Thr Tyr Ser Leu Ser Ser Thr Leu Thr Leu Ser Lys Ala Asp  
Tyr Glu Lys His Lys Val Tyr Ala Cys Glu Val Thr His Gln Gly Leu Ser Ser Pro Val Thr Lys Ser Phe Asn Arg Gly Glu Cys (SEQ ID NO:1)

#### Figure 3B anti-HER2 rhuMAB Heavy chain:

Glu Val Gln Leu Val Glu Ser Gly Gly Gly Leu Val Gln Pro Gly Ser Leu Arg Leu Ser Cys Ala Ala Ser Gly Phe Asn Ile Lys Asp Thr Tyr Ile His Trp Val  
Arg Gln Ala Pro Gly Lys Gly Leu Glu Trp Val Ala Arg Ile Tyr Pro Thr Asn Gly Tyr Thr Arg Tyr Ala Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Ala Asp Thr  
Ser Lys Asn Thr Ala Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp Thr Ala Val Tyr Tyr Cys Ser Arg Trp Gly Gly Asp Gly Phe Tyr Ala Met Asp Tyr Trp  
Gly Gln Gly Thr Leu Val Thr Val Ser Ser Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu Ala Pro Ser Ser Lys Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys  
Leu Val Lys Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser Gly Ala Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser Ser Gly Leu Tyr Ser  
Leu Ser Ser Val Val Thr Val Pro Ser Ser Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His Lys Pro Ser Asn Thr Lys Val Asp Lys Lys Val Glu Pro Lys  
Ser Cys Asp Lys Thr His Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly Gly Pro Ser Val Phe Leu Phe Pro Pro Lys Pro Lys Asp Thr Leu Met Ile Ser Arg  
Thr Pro Glu Val Thr Cys Val Val Asp Val Ser His Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr Val Asp Gly Val Glu Val His Asn Ala Lys Thr Lys Pro Arg  
Glu Glu Tyr Asn Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys Ala Leu  
Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly Gln Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu Met Thr Lys Asn Gln Val Ser Leu  
Thr Cys Leu Val Lys Gly Phe Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro Glu Asn Asn Tyr Lys Thr Thr Pro Val Leu Asp Ser Asp Gly  
Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp Gln Gln Gly Asn Val Phe Ser Cys Ser Val Met His Glu Ala Leu His Asn His Tyr Thr Gln Lys  
Ser Leu Ser Leu Ser Pro Gly (SEQ ID NO: 2)

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## FIGURE 4

Anti-CD11a mature antibody protein amino acid sequence:

**Figure 4A** anti-CD11a rhuMab Light chain:

DIQMTQSPSSLSASVGDRVTITCRASKTISKYLAWYQQKPGKAPKLLIYSGSTLQSGVPSRFS  
GSGGTDFTLTISLQPEDFATYYCQQHNEYPLTFGQGTKVEIKRTVAAPSVFIFPPSDEQLKS  
GTASVVCLLNNFYPREAKVQWKVDNALQSGNSQESVTEQDSKDSYSTLSSTLTLSKADYEK  
HKVYACEVTHQGLSPVTKSFNRGEC (SEQ ID NO:3)

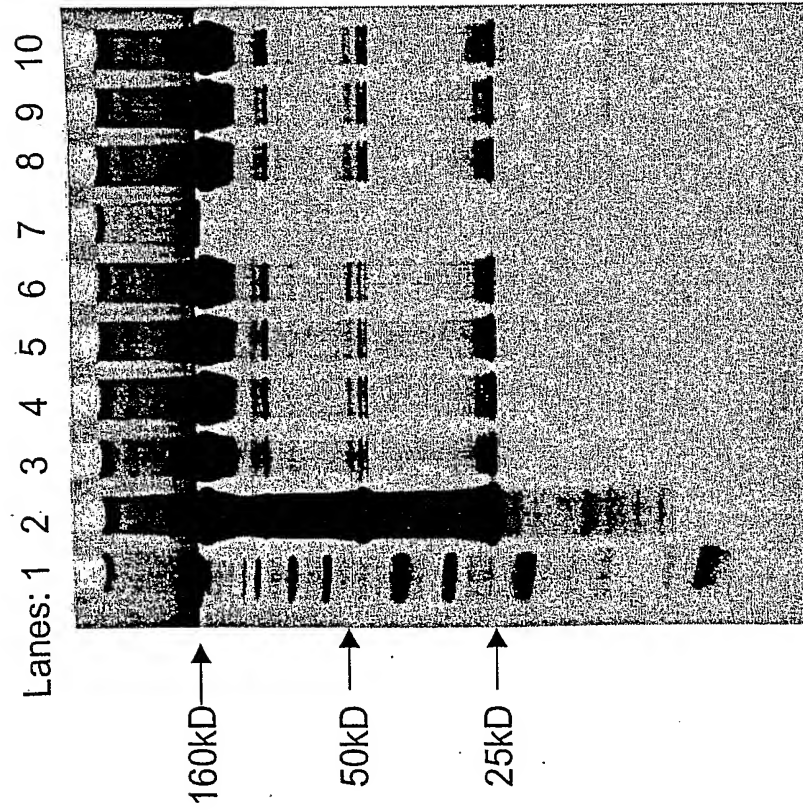
**Figure 4B** anti-CD11a rhuMab Heavy chain:

EVQLVESGGGLVQPGGSLRLSCAASGYSFTGHWMNWNVRQAPGKGLEWVGMHPSDSETRY  
NQKFDRFTISVDKSKNTLYLQMNSLR AEDTAVYYCARGIFYGTTYFDYWGQGLVTVSS  
ASTKGPSVFPLAPSSKSTSGGTAALGCLVKDYFPEPTVSWNSGALTSGVHTFPAVLQSSGLY  
SLSSVTVTPSSSLGTQTYICNVNHKPSNTKVDKKVEPKSCDKTHTCPPCPAPELLGGPSVFLFP  
PKPKDTLMISRTPEVTCVVVDVSHEDPEVKFNWYVDGVEVHNAKTKPREEQYNSTYRVVSV  
LTVLHQDWLNGKEYKCKVSNKALPAPIEKTISKAKGQPREPQVYVTLPPSREEMTKNQVSLTCL  
VKGFYPSDIAVEWESNGQPENNYKTTTPPVLDSDGSFFLYSKLTVDKSRWQQGNVFCSCVMHE  
ALHNHYTQKSLSLSPGK (SEQ ID NO:4)

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# FIGURE 5

## Anti-CD40 rhuMab Product quality



## Sequence Listing

<110> FAHRNER, ROBERT  
FOLLMAN, DEBORAH  
LEBRETON, BENEDICTE  
VAN REIS, ROBERT

<120> NON-AFFINITY PURIFICATION OF PROTEINS

<130> 39766-0121 PCT

<150> US 60/375,953

<151> 2002-04-26

<160> 4

<210> 1

<211> 214

<212> PRT

<213> Artificial Sequence

<220>

<223> Sequence is synthesized

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				20					25					30
Thr	Ala	Val	Ala	Trp	Tyr	Gln	Gln	Lys	Pro	Gly	Lys	Ala	Pro	Lys
				35					40					45
Leu	Leu	Ile	Tyr	Ser	Ala	Ser	Phe	Leu	Tyr	Ser	Gly	Val	Pro	Ser
				50					55					60
Arg	Phe	Ser	Gly	Ser	Arg	Ser	Gly	Thr	Asp	Phe	Thr	Leu	Thr	Ile
				65					70					75
Ser	Ser	Leu	Gln	Pro	Glu	Asp	Phe	Ala	Thr	Tyr	Tyr	Cys	Gln	Gln
				80					85					90
His	Tyr	Thr	Thr	Pro	Pro	Thr	Phe	Gly	Gln	Gly	Thr	Lys	Val	Glu
				95					100					105
Ile	Lys	Arg	Thr	Val	Ala	Ala	Pro	Ser	Val	Phe	Ile	Phe	Pro	Pro
				110					115					120
Ser	Asp	Glu	Gln	Leu	Lys	Ser	Gly	Thr	Ala	Ser	Val	Val	Cys	Leu
				125					130					135
Leu	Asn	Asn	Phe	Tyr	Pro	Arg	Glu	Ala	Lys	Val	Gln	Trp	Lys	Val
				140					145					150
Asp	Asn	Ala	Leu	Gln	Ser	Gly	Asn	Ser	Gln	Glu	Ser	Val	Thr	Glu
				155					160					165
Gln	Asp	Ser	Lys	Asp	Ser	Thr	Tyr	Ser	Leu	Ser	Ser	Thr	Leu	Thr
				170					175					180
Leu	Ser	Lys	Ala	Asp	Tyr	Glu	Lys	His	Lys	Val	Tyr	Ala	Cys	Glu
				185					190					195

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 200 205 210

Arg Gly Glu Cys

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 Asp Thr Tyr Ile His Trp Val Arg Gln Ala Pro Gly Lys Gly Leu  
 35 40 45  
 Glu Trp Val Ala Arg Ile Tyr Pro Thr Asn Gly Tyr Thr Arg Tyr  
 50 55 60  
 Ala Asp Ser Val Lys Gly Arg Phe Thr Ile Ser Ala Asp Thr Ser  
 65 70 75  
 Lys Asn Thr Ala Tyr Leu Gln Met Asn Ser Leu Arg Ala Glu Asp  
 80 85 90  
 Thr Ala Val Tyr Tyr Cys Ser Arg Trp Gly Gly Asp Gly Phe Tyr  
 95 100 105  
 Ala Met Asp Tyr Trp Gly Gln Gly Thr Leu Val Thr Val Ser Ser  
 110 115 120  
 Ala Ser Thr Lys Gly Pro Ser Val Phe Pro Leu Ala Pro Ser Ser  
 125 130 135  
 Lys Ser Thr Ser Gly Gly Thr Ala Ala Leu Gly Cys Leu Val Lys  
 140 145 150  
 Asp Tyr Phe Pro Glu Pro Val Thr Val Ser Trp Asn Ser Gly Ala  
 155 160 165  
 Leu Thr Ser Gly Val His Thr Phe Pro Ala Val Leu Gln Ser Ser  
 170 175 180  
 Gly Leu Tyr Ser Leu Ser Ser Val Val Thr Val Pro Ser Ser Ser  
 185 190 195  
 Leu Gly Thr Gln Thr Tyr Ile Cys Asn Val Asn His Lys Pro Ser  
 200 205 210  
 Asn Thr Lys Val Asp Lys Lys Val Glu Pro Lys Ser Cys Asp Lys  
 215 220 225  
 Thr His Thr Cys Pro Pro Cys Pro Ala Pro Glu Leu Leu Gly Gly  
 230 235 240  
 Pro Ser Val Phe Leu Phe Pro Pro Lys Pro Lys Asp Thr Leu Met  
 245 250 255  
 Ile Ser Arg Thr Pro Glu Val Thr Cys Val Val Val Asp Val Ser  
 260 265 270

His Glu Asp Pro Glu Val Lys Phe Asn Trp Tyr Val Asp Gly Val  
 275 280 285  
 Glu Val His Asn Ala Lys Thr Lys Pro Arg Glu Glu Gln Tyr Asn  
 290 295 300  
 Ser Thr Tyr Arg Val Val Ser Val Leu Thr Val Leu His Gln Asp  
 305 310 315  
 Trp Leu Asn Gly Lys Glu Tyr Lys Cys Lys Val Ser Asn Lys Ala  
 320 325 330  
 Leu Pro Ala Pro Ile Glu Lys Thr Ile Ser Lys Ala Lys Gly Gln  
 335 340 345  
 Pro Arg Glu Pro Gln Val Tyr Thr Leu Pro Pro Ser Arg Glu Glu  
 350 355 360  
 Met Thr Lys Asn Gln Val Ser Leu Thr Cys Leu Val Lys Gly Phe  
 365 370 375  
 Tyr Pro Ser Asp Ile Ala Val Glu Trp Glu Ser Asn Gly Gln Pro  
 380 385 390  
 Glu Asn Asn Tyr Lys Thr Thr Pro Pro Val Leu Asp Ser Asp Gly  
 395 400 405  
 Ser Phe Phe Leu Tyr Ser Lys Leu Thr Val Asp Lys Ser Arg Trp  
 410 415 420  
 Gln Gln Gly Asn Val Phe Ser Cys Ser Val Met His Glu Ala Leu  
 425 430 435  
 His Asn His Tyr Thr Gln Lys Ser Leu Ser Leu Ser Pro Gly  
 440 445

&lt;210&gt; 3

&lt;211&gt; 214

&lt;212&gt; PRT

&lt;213&gt; Artificial sequence

&lt;220&gt;

&lt;223&gt; sequence is synthesized

&lt;400&gt; 3

Asp Ile Gln Met Thr Gln Ser Pro Ser Ser Leu Ser Ala Ser Val  
 1 5 10 15  
 Gly Asp Arg Val Thr Ile Thr Cys Arg Ala Ser Lys Thr Ile Ser  
 20 25 30  
 Lys Tyr Leu Ala Trp Tyr Gln Gln Lys Pro Gly Lys Ala Pro Lys  
 35 40 45  
 Leu Leu Ile Tyr Ser Gly Ser Thr Leu Gln Ser Gly Val Pro Ser  
 50 55 60  
 Arg Phe Ser Gly Ser Gly Ser Gly Thr Asp Phe Thr Leu Thr Ile  
 65 70 75  
 Ser Ser Leu Gln Pro Glu Asp Phe Ala Thr Tyr Tyr Cys Gln Gln  
 80 85 90  
 His Asn Glu Tyr Pro Leu Thr Phe Gly Gln Gly Thr Lys Val Glu  
 95 100 105  
 Ile Lys Arg Thr Val Ala Ala Pro Ser Val Phe Ile Phe Pro Pro

110	115	120
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Asp Asn Ala Leu Gln 155	Ser Gly Asn Ser Gln 160	Glu Ser Val Thr Glu 165
Gln Asp Ser Lys Asp 170	Ser Thr Tyr Ser Leu 175	Ser Ser Thr Leu Thr 180
Leu Ser Lys Ala Asp 185	Tyr Glu Lys His Lys 190	Val Tyr Ala Cys Glu 195
Val Thr His Gln Gly 200	Leu Ser Ser Pro Val 205	Thr Lys Ser Phe Asn 210
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<210> 4  
 <211> 451  
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 <213> Artificial sequence

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 <223> Sequence is synthesized

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 Glu Trp Val Gly Met 50 Ile His Pro Ser Asp 55 Ser Glu Thr Arg Tyr 60  
 Asn Gln Lys Phe Lys 65 Asp Arg Phe Thr Ile 70 Ser Val Asp Lys Ser 75  
 Lys Asn Thr Leu Tyr 80 Leu Gln Met Asn Ser 85 Leu Arg Ala Glu Asp 90  
 Thr Ala Val Tyr Tyr 95 Cys Ala Arg Gly Ile 100 Tyr Phe Tyr Gly Thr 105  
 Thr Tyr Phe Asp Tyr 110 Trp Gly Gln Gly Thr 115 Leu Val Thr Val Ser 120  
 Ser Ala Ser Thr Lys 125 Gly Pro Ser Val Phe 130 Pro Leu Ala Pro Ser 135  
 Ser Lys Ser Thr Ser 140 Gly Gly Thr Ala Ala 145 Leu Gly Cys Leu Val 150  
 Lys Asp Tyr Phe Pro 155 Glu Pro Val Thr Val 160 Ser Trp Asn Ser Gly 165  
 Ala Leu Thr Ser Gly 170 Val His Thr Phe Pro 175 Ala Val Leu Gln Ser 180



Ser	Gly	Leu	Tyr	Ser 185	Leu	Ser	Ser	Val	Val 190	Thr	Val	Pro	Ser	Ser 195
Ser	Leu	Gly	Thr	Gln 200	Thr	Tyr	Ile	Cys	Asn 205	Val	Asn	His	Lys	Pro 210
Ser	Asn	Thr	Lys	Val 215	Asp	Lys	Lys	Val	Glu 220	Pro	Lys	Ser	Cys	Asp 225
Lys	Thr	His	Thr	Cys 230	Pro	Pro	Cys	Pro	Ala 235	Pro	Glu	Leu	Leu	Gly 240
Gly	Pro	Ser	Val	Phe 245	Leu	Phe	Pro	Pro	Lys 250	Pro	Lys	Asp	Thr	Leu 255
Met	Ile	Ser	Arg	Thr 260	Pro	Glu	Val	Thr	Cys 265	Val	Val	Val	Asp	Val 270
Ser	His	Glu	Asp	Pro 275	Glu	Val	Lys	Phe	Asn 280	Trp	Tyr	Val	Asp	Gly 285
Val	Glu	Val	His	Asn 290	Ala	Lys	Thr	Lys	Pro 295	Arg	Glu	Glu	Gln	Tyr 300
Asn	Ser	Thr	Tyr	Arg 305	Val	Val	Ser	Val	Leu 310	Thr	Val	Leu	His	Gln 315
Asp	Trp	Leu	Asn	Gly 320	Lys	Glu	Tyr	Lys	Cys 325	Lys	Val	Ser	Asn	Lys 330
Ala	Leu	Pro	Ala	Pro 335	Ile	Glu	Lys	Thr	Ile 340	Ser	Lys	Ala	Lys	Gly 345
Gln	Pro	Arg	Glu	Pro 350	Gln	Val	Tyr	Thr	Leu 355	Pro	Pro	Ser	Arg	Glu 360
Glu	Met	Thr	Lys	Asn 365	Gln	Val	Ser	Leu	Thr 370	Cys	Leu	Val	Lys	Gly 375
Phe	Tyr	Pro	Ser	Asp 380	Ile	Ala	Val	Glu	Trp 385	Glu	Ser	Asn	Gly	Gln 390
Pro	Glu	Asn	Asn	Tyr 395	Lys	Thr	Thr	Pro	Pro 400	Val	Leu	Asp	Ser	Asp 405
Gly	Ser	Phe	Phe	Leu 410	Tyr	Ser	Lys	Leu	Thr 415	Val	Asp	Lys	Ser	Arg 420
Trp	Gln	Gln	Gly	Asn 425	Val	Phe	Ser	Cys	Ser 430	Val	Met	His	Glu	Ala 435
Leu	His	Asn	His	Tyr 440	Thr	Gln	Lys	Ser	Leu 445	Ser	Leu	Ser	Pro	Gly 450

Lys

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(71) Applicant (for all designated States except US):  
**GENETECH, INC.** [US/US]; 1 DNA Way, South  
San Francisco, CA 94080 (US).

(72) Inventors; and

(75) Inventors/Applicants (for US only): **FAHNER, Robert, Lee** [US/US]; 3816 Pasedena Dr., San Mateo, CA 94403 (US). **FOLLMAN, Deborah** [US/US]; 206 Shelby Court, West Lafayette, IN 47906 (US). **LEBRETON, Benedicte** [FR/US]; 1880 Vallejo St. No. 3, San Francisco, CA 94123 (US). **REIS, Robert, Van** [SE/US]; 421 Hillcrest Way, Emerald Hills, CA 94062 (US).

(74) Agent: **DREGER, Ginger, R.**; Heller, Ehrman, White & McAuliffe LLP, 275 Middlefield Road, Menlo Park, CA 94025-3506 (US).

(81) Designated States (*national*): AE, AG, AL, AM, AT (utility model), AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ (utility model), CZ, DE (utility model), DE, DK (utility model), DK, DM, DZ, EC, EE (utility model), EE, ES, FI (utility model), FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NI, NO, NZ, OM, PH, PL, PT, RO, RU, SC, SD, SE, SG, SK (utility model), SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

(84) Designated States (*regional*): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR, GB, GR, HU, IE, IT, LU, MC, NL, PT, RO, SE, SI, SK, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

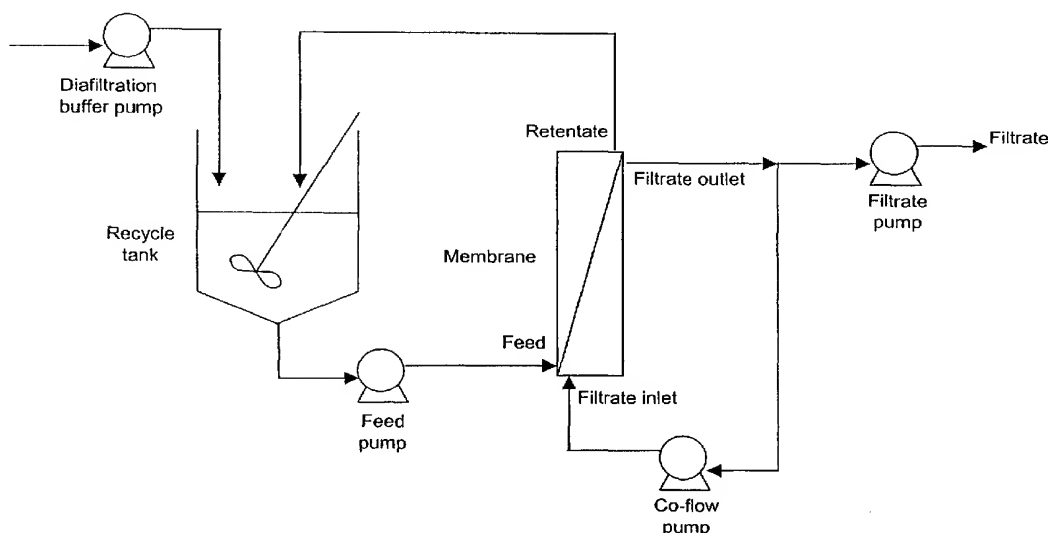
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For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.

(54) Title: NON-AFFINITY PURIFICATION OF PROTEINS



(57) Abstract: The present invention relates to a method for protein purification that involves the combination of non-affinity chromatography with HPTFF.

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## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US03/13054

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(7) : A23J 1/00

US CL : 530/413

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 530/412

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)  
Please See Continuation Sheet**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	VAN REIS. R. High performanve tangential flow filtration using charged membranes Journal of Membrane Science. January 1999, Vol.159, pages 133-142.	1-13
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Y		14-28



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	
"A" document defining the general state of the art which is not considered to be of particular relevance	"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"B" earlier application or patent published on or after the international filing date	"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O" document referring to an oral disclosure, use, exhibition or other means	"Z" document member of the same patent family
"P" document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search

01 July 2004 (01.07.2004)

Date of mailing of the international search report

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Mail Stop PCT, Attn: ISA/US  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Facsimile No. (703) 305-3230

Authorized officer

Robert B Mondesi

Telephone No. 703-308-0196

**INTERNATIONAL SEARCH REPORT**

PCT/US03/13054

**Continuation of B. FIELDS SEARCHED Item 3:**

EAST, MEDLINE, CAPLUS, BIOSIS.

search terms: non-affinity chromatography, anti-bodies, High performance tangential chromatography, protein purification